

Sub: Selection of Households for formation of Self Help Groups (SHGs) under NULM

Formation of SHG groups under NULM is a mandatory component (Refer Component 1.1: Building Community Institutions – Self Help Groups and their Federations) wherein in section 4 of the Guideline, memberships of SHGs has been elucidated as ***“Under DAY – NULM, Self Help Groups of Urban Poor will be formed. Non-Poor may be included as members of SHGs where strong affinity or special reasons exist. However, at least 70% of the SHG members should be Urban Poor to qualify for funding support under DAY – NULM.”*** [Flag Marked “P”]. However, the rest 30% may constitute citizens belonging to APL and/or marginally above BPL and/or Urban Poor.

As approved by Hon'ble Minister-In Charge, Urban Development & Municipal Affairs Department, necessary directions have been issued to all ULBs vide Letter No. SUDA – 79/2015/728 dated June 30, 2015, [Flag Marked “Q”] wherein it has been communicated that 70% of the SHG members for group formation, may be identified from the list of existing BPL list as per Urban Household Survey 2006 BPL survey data and the rest 30% shall have to be identified from Urban Poor Households covered under National Food Security Act prepared from SECC 2011 data.

As per the Mission Document ***“DAY-NULM would lay particular emphasis on the mobilisation of vulnerable sections of the urban population”***. The target group members and SHG members since mostly reside in close neighborhood in slums and maintain a close liaison within the group members, hence, apportioning the ratio for selecting SHG members becomes extreme necessity for formation of more SHGs.

Considering the above scenario, it becomes difficult for the ULBs to identify and select the SHG members for group formation and as a result targets for group formation have fallen short. This issue has been reiterated by the ULBs from time to time in various meetings.

- As of date, the total BPL Households as per Urban Household survey is 12,49,208 (Population: 64,58,412).
- As per National Food Security Act, number of households is 19,85,393 (Population: 84,77,628).

Currently the ULBs are selecting SHG members following the guidelines issued by SUDA wherein 70% of the members are selected from BPL households as per Urban Household survey and the rest 30% Urban Poor from Households

In the various review meetings with the ULBs till date, emphasis is laid upon formation of more SHGs thereby attaining the target. However, the ULBs are facing extreme difficulties, as the aforesaid identification method as per the Guideline (70:30 ratio) imposes the challenge towards identifying and selecting members and formation of SHGs, as the group formation has reached to the level of saturation. Moreover, the requisite number of households coming under Food Security as well as Urban Households BPL list remains insufficient, and the number of SHG formation falls short of the desired targets. In addition, few ULBs have requested to include deserving beneficiaries not falling under any of the above groups, hence not being able to include themselves in the SHGs under NULM. **[Flag Marked "S"]**

Now, for better coverage and provision of increased benefits to the Urban Poor as demanded by ULBs, it is suggested that, 70% of the SHG members, may be selected collectively from the BPL Households as per Urban Household survey and Urban Poor Households covered under National Food Security Act/ Khadya Sathi. Selection of rest 30% SHG members, may remain with the ULBs, which may comprise belonging to APL and/or marginally above BPL and/or Urban Poor as the ULBs are the implementing authorities. However, these may be selected as deemed fit by the ULBs based on vulnerabilities like occupational / residential / social pattern existing in the ULBs.

As criteria for identification of Urban Poor is not yet been finalized by MoHUA, Govt. of India, based on SECC 2011, hence several states like Odisha, Gujarat, Kerala have adopted selection strategy using the previous BPL list, NFSA list, State sponsored schemes which meant primarily for the Urban Poor. Likewise, our State may consider selection of SHG members; 70% combinedly from the lists of BPL households as per Urban Household survey and Urban Poor Households covered under National Food Security Act prepared from SECC 2011 data / KhadyaSathi, and rest 30% from APL and/or marginally above the Urban Poor within the ULBs .

In case, the above methodology for selection of SHG members is approved, may result to substantial increase in number of deserving SHGs on one hand, and on other hand may require additional funds from MoHUA, Govt. of India.

The above approach will also enable the ULBs to form SHGs having requisite group size as well as forming SHGs in a time bound manner.

Government of West Bengal
Office of the District Magistrate, North 24 Parganas,
Barasat
District Law Cell
mailid: rmcellnprg@gmail.com

Memo no. 29(6)/XL/Law

Dated 17/01/2019

To

- 1) The Secretary,
North 24-Parganas Zila Parishad
Barasat Kol-700124.
- 2) The Chairman,
Ashokenagar Kalyangarh Municipality
Asokenagar, North 24-Parganas,
Pin No. 743232
- 3) The Sub-Divisional Officer, Barasat(S)
North 24-Parganas.
- 4) The Officer-in-Charge,
District Municipal Affairs Department
North 24-Parganas.
- 5) The Secretary, Urban Development Department,
Unnayan Bhavan, DJ-11, Sec-II Saltlake, Kol-91
- 6) The Director of State Urban Development Agency,
ILGWS Bhavan, HC Block, Sec-III, Saltlake, Kol-106.



S. Gupta
Asst

Ref: W.P no.20557(W) of 2017 Ashokenagar Hemago Sanaskritik Sanstha & Ors
-Vs- The Union of India & Ors.

With reference to the subject noted above, the undersigned is sending herewith a copy of order dated 11.01.2019 passed by Hon'ble Justice Biswanath Somadder, ACJ & Arindam Mukherjee Judge, Calcutta High Court in connection with a copy of W.P no.20557(W) of 2017 Ashokenagar Hemago Sanaskritik Sanstha & Ors -Vs- The Union of India & Ors. which speaks for itself.

You are requested to look into the matter and compliance of the said order immediately from your end.

Please treat this matter as most urgent.

Encl: As stated above.

[Signature]
for District Magistrate,
North 24 Parganas, Barasat.

[Signature]
17/1/19

11.01.2019
ap

W. P. 20557 (W) of 2017

Ashoke Nagar Hemango Samaskritik
Samstha & Ors.

Vs.

The Union of India & Ors.

Mr. Uday Sankar Chattopadhyay
Mr. Suman Sankar Chatterjee
Mr. Santanu Maji
Ms. Snigdha Saha
Ms. Payel Shome ... For the petitioners.

Mr. P.S. Debbarman
Mr. Manoranjan Mahata
... For the respondent no. 7.

Ms. Debjani Ghosal
Mr. Sudarshan Lamba
... For Union of India.

Mr. Tapan Kumar Mukherjee, Sr. Advocate,
Ms. Debjani Mitra ... For the State.

Let the affidavit-of-service filed in Court today be taken on record.

Having heard the learned advocates for the parties and upon perusing the instant application, we direct the concerned authority of the State, namely, the Director of State Urban Development Agency, being the respondent no. 4, to file a report in the form of an affidavit on or before the next date stating therein specifically as to whether the allegations made in the writ petition have any basis or not.

List this matter a fortnight hence under the same heading for further consideration.

(Biswanath Somadder, A.C.J.)

(Arindam Mukherjee, J.)

High Court at Calcutta



2nd Floor (above Protocol Department)
High Court at Calcutta; Kolkata-700 001
Phone: 033-22627404/05
Website: www.sarthac.gov.in

Date: 02-08-2017 (02:36 PM)

ASSIGNMENT SHEET

ASSIGNMENT SHEET		
A	Court: High Court at Calcutta	Case No.: WP 20557(W) of 2017
B	Petitioner: Ashoke Nagar Hemango Samaskritik Samstha and others	Respondent: State of West Bengal and others
C	<p>Receiving Date: 02-08-2017 (02:19 PM)</p> <p>Hearing Details: Before Division/ Larger Bench presided over by Hon'ble Acting Chief Justice Nishita Mhatre on 04-08-2017 or so soon thereafter as the business of the Hon'ble Court may permit.</p> <p>Intervening Gap (between the date of receiving and the date of hearing): 1 clear day(s).</p>	
D	<p>Group: IX-Residuary Matters</p> <p>Sub-Head: Data not available</p> <p>Issues:</p> <ul style="list-style-type: none"> To take necessary action in regard to the sanction of the fund in favour of the affected persons 	
E	<p>Petitioner's Advocate</p> <p>Santanu Maji High Court at Calcutta Bar Association, Room No. 16 Kolkata: 700001 Mob:9681526663</p>	<p>State Advocate</p> <p>Assigned under System Generated (SyGen) No.*/2017</p> <p>to Mr./Ms. <u>Tapam Mukherjee</u> Adv./Sr. Adv.</p> <p>with Mr./Ms. <u>Debjani Mitra</u> Adv.</p> <p>as junior/with a junior of his choice/ without junior.</p> <p style="text-align: right;">Government Pleader High Court, Calcutta</p>

Generated on 02-08-2017 (02:36 PM) by WS-C1/RIBORP

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RECEIPT

(For State Advocate's Use Only)

No: FD0000038625

Date: 02-08-2017 (02:19 PM)



FD0000038625

Allotted to:

WS-C1

Received with thanks two copies of Petition/ Application having following details:

A. Case Details : WP No. 20557(W) of 2017

B. Hearing Details : Before Division/ Larger Bench presided over by Hon'ble Acting Chief Justice Nishita Mhatre on 04-08-2017 or so soon thereafter as the business of the Hon'ble Court may permit.

C. Intervening Gap (between the date of receiving and the date of hearing): 1 clear day(s).

N.B.: The Petition/ Application has been received relying in good faith that -

- No page in the Petition/ Application or no content thereof is missing,
- Every page in the Petition/ Application is legible,
- No page in the Petition/ Application is dog-eared, crushed or otherwise battered, and
- No page in the Petition/ Application is torn or mutilated.

Generated on 02-08-2017 (02:19 PM) by FD-03/UNATNAS



Santanu Maji
Snigdha Saha
Sudhadeep Banerjee
S. Priyanka Tiwari
Advocates
High Court, Calcutta
Bar Association Room No.- 16

Chamber :
8/2 Kiron Sankar Roy Road
Room No.-29 (2nd Floor)
Kolkata-700001
Ph. : 9681526663
8272920983
9062691940
9002491068

Ref. No.

Date 2.8.2017.....

To

1. The learned Sr. Central Govt. Advocate,
Ministry of Law & Justice,
11, Strand Road, Kolkata - 700001.

2. The learned Govt. Pleader,
High Court, Calcutta.

3. Ashokenagar Kalyangarh Municipality,
service through the Chairman, having its office
at Ashokenagar Kalyangarh, District - North
24-parganas, pin - 743232.

Re : W.P.NO.20557 (W) of 2017
Ashoke Nagar Hemango Samaskritik
Samstha & Ors.
-Versus-
The Union of India & Ors.

Sir(s),

Please find enclosed herewith a copy of the above mentioned writ application along with all its annexures which has been filed before the Hon'ble High Court at Calcutta and the same will be moved before Their Lordships the Hon'ble Acting Chief Justice Nishita Mhatre and the Hon'ble Justice Tapobrata Chakraborty on 4.8.2017 or soon thereafter as and when the business of the Hon'ble Court shall permits.

Kindly receive and attend at the time of hearing.

Thanking you,

Yours faithfully,

Santanu Maji
Advocate.

District: North 24 Parganas

In the High Court at Calcutta
Constitutional Writ Jurisdiction
Appellate Side

Public Interest Litigation

W.P. NO. 20557(W) of 2017;

In the Matter of:

An application under Article 226 of the
Constitution of India;

Subject matter relating to:

Group : IK Head : M/L
of the classification List

Cause Title

Ashoke Nagar Hemango Samaskritik
Samstha and Others

.... Petitioners

-Versus-

The Union of India & Ors.

..... Respondents

Advocate-on-Record:

Santanu Maji
Santanu Maji,
Advocate,
High Court, Calcutta
Bar Association Room No.16.

District: North 24 Parganas

In the High Court at Calcutta

Constitutional Writ Jurisdiction

Appellate Side

W.P. NO. (W) OF 2017;

In the Matter of :

Ashoke Nagar Hemango Samaskritik

Samstha and Others

.... Petitioners

-Versus-

The Union of India & Ors.

..... Respondents

INDEX

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3.	Photostat copies of the newspaper cutting and other certificates	P-1	$\frac{15}{17}$
4.	Photostat copies of the representations	P-2	$\frac{18}{29}$

LIST OF DATES

07.03.2017

A mass representation was made to the respondent authority.

POINTS OF LAW

- I. Whether the respondents have failed to act in accordance with law of the land which has thereby resulted in gross miscarriage of justice?
- II. Whether the actions of the respondents impugned herein neither bonafide nor lawful?
- III. Whether the action of the respondents in not changing the record of rights is illegal?
- IV. Whether in the instant case public interest is involved or not?



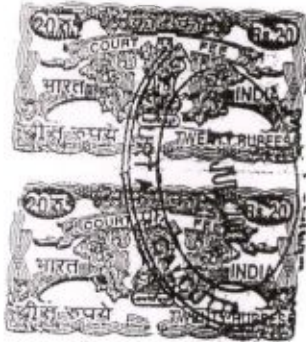
*Through
Santam Maji
Advocate*

District: North 24 Parganas

In the High Court at Calcutta

Constitutional Writ Jurisdiction

Appellate Side



87974(2)

Public Interest Litigation

W.P.NO. (W) OF 2017;

In the Matter of:

An application under Article 226 of the
Constitution of India;

And

In the Matter of:

A writ in the nature of Mandamus
and/or certiorari and/or Prohibition
and/or any other writ or writs, order or
orders, direction or directions;

And

In the Matter of:

1. Ashoke Nagar Hemango Samaskritik
Samstha, represented by its secretary,
having its office at Village - 714/1
Ashok Nagar, District - North 24
Parganas, Pin-743222;

WITHOUT TRANSLATION

Commissioner

2. Tapas Banerjee, secretary of Ashoke
Nagar Hemango Samaskritik Samstha ,
having its office at Village - 714/1
Ashok Nagar, District - North 24
Parganas, Pin-743222;

3. Nemai Munda, son of Sukh Chand
Munda, Assistant Secretary of Ashoke
Nagar Hemango Samaskritik Samstha,
having its office at Village - 714/1
Ashok Nagar, District - North 24
Parganas, Pin-743222;

... Petitioners.

Versus

1. The Union of India, Ministry of Urban
Development, service through its
Secretary, having its office at Maulana
Azad Road, Nirman Bhawan, New Delhi
- 110 011;

2. The Union of India, Union Ministry of
Drinking Water and Sanitation, service
through its Secretary, having its office at
5th Floor, Krishi Bhawan New Delhi-
110001;



3. The state of West Bengal, service through the Secretary, Urban Development Department having its office at Unnayan Bhavan, DJ-11, Sector-II, Salt Lake, Kolkata - 700091;
4. The Director of State Urban Development Agency, ILGWS Bhaban, HC Block, Sector - III, Salt Lake, Kolkata - 700106;
5. The District Magistrate, North 24 Parganas, having his office at Barasat, District - North 24 Parganas, Pin Code - 743232 ;
6. The Sub Divisional Officer, Barasat, having its office at Barasat, District - North 24 Parganas, Pin - 743232;
- ✓ 7. Ashokenagar Kalyangarh Municipality, service through its Chairman, having its office at Ashokenagar Kalyangarh, District - North 24 Parganas, Pin - 743232;

Mail :-
nmallnprg@gmail.com
To O.C. Kewall

3

8. The Chairman Ashokenagar Kalyangarh Municipality, having its office at Ashokenagar Kalyangarh, District - North 24 Parganas, Pin - 743232;

.....Respondents

To

The Hon'ble Mrs. Nishita Mhatre, Acting Chief Justice and Her Companion Justices of the said Hon'ble Court.

The humble petition of the petitioners
above named -

Most Respectfully Sheweth :

1. That your petitioners are the citizen of India and residing at the address given in the cause title of this petition.
2. Your petitioners state that the petitioner No. 2 & 3 have been duly authorized to file this public Interest Litigation by the petitioner No. 1 and to give effect to this a resolution was taken from the executive committee meeting of the said Hemanga Sanskritik Sangstha.

Photostat copy of the resolution taken from the meeting of the executive committee of Hemanga Sanskritik Sangstha is annexed herewith and marked with letter "P".

24



MAHESHTALA MUNICIPALITY

MAHESHTALA SOUTH 24 PARGANAS

Phone : 2490-1651, 2490-3389

Fax :- 2490-9296, Email : maheshtalamunicipality@gmail.com

Memo No : 721/MM/WBSULM/SM&ID/131(1)

Date : 24.01.2019

To

The Director SUDA

&

Project Director, WBSULM, WB

ILGUS Bhavan, HC Block,

Sector-III, Salt Lake City

Kolkata-700106

Sub: Request for an order to include Urban Poor as beneficiary under NULM scheme

Madam,

We would like to draw your kind attention that as per SUDA reference memo no : SUDA-46/2014(pt-I)/298 dated 18.05.2018 ULBs were advised to keep 70% BPL members while forming SHGs. As you are aware the BPL list is more than 10 years old and so deserving urban poor people are not included in the list. So we are unable to include them in SHGs and help them to avail the facilities under scheme. Hence we would like to request you to review the aforesaid order and guide us.

Anticipating your Kind cooperation

Thanks and Regards.


Vice Chairman
Maheshtala Municipality



MADHYAMGRAM MUNICIPALITY

P.O. : Madhyamgram

Dist. : North 24 Parganas, Kolkata - 700129

Ref. No. : MM/Chair/ 1253 /2018-19

Date : 16.08.2018

To,
The Director
State Urban Development Agency
Ilus Bhavan, Bidhannagar
Kolkata-700106

Sub:- Request for relaxation for formation of SHGs.

Ref:- His memo no. 79/2015/728 dt. 30/06/15 and no. 46/2014(pL1) /298 dt. 18/05/18

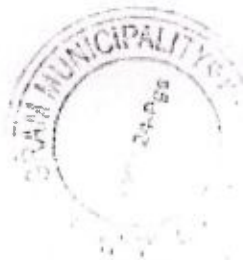
Sir,

Kindly refer to above. In our municipality already 754SHGs have been formed maintaining required formalities as per above referred guideline. As per your above-mentioned guideline SHGs have to be formed with at least 70% members from BPL category and 30% from National Food Security Beneficiary list. In our municipality we have been able to cover maximum number of people of BPL list in our 754SHGs. There are a few scattered families who have been included in the BPL list but have not yet included in SHGs. As a result it has become difficult for us to form new SHGs with 70% members from BPL list.

In the situation stated above you are requested to consider the matter sympathetically and from practical point of view and to relax the criteria so that SHGs may be formed from BPL list and NFS beneficiary list as per availability of candidate from both list but not strictly observing 70% and 30% ratio.

Hope you will consider the matter and do the needful and issue necessary instruction at the earliest.

Yours sincerely,



[Signature]
Chairman
Madhyamgram Municipality
CHAIRMAN
Madhyamgram Municipality
North 24 Parganas

F.No. K-14014/11/2014-UPA/FTS-10201
Government of India
Ministry of Housing and Urban Poverty Alleviation
(UPA Division)

REMINDER

Nirman Bhawan, New Delhi
Dated: 12th May, 2016

To

(24 States/UTs Secretaries
As per list attached)



JB (59)
Pl process early
26/5/16

Subject: Urban BPL population in NULM Cities -reg.

Ref: No. K-14014/11/2014-UPA/FTS-10201 dated 17th February, 2016

SMM

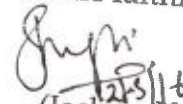
Sir/ Madam,

The undersigned is directed to refer to the subject mentioned above and to say that vide this Ministry's letter referred above (copy enclosed), it was requested to provide the details of procedure and eligibility criteria being adopted by the States/UTs for identification/ selection of beneficiaries under the Mission along with a copy of the relevant order/ circular. It was also requested to furnish survey lists prepared such as number of families/ beneficiaries identified/ eligible for coverage under NULM and the year of survey/ identification, if any.

2. In this connection it is to inform that the requisite information is still awaited in this Ministry from your State/UT.
3. It is, therefore, requested to provide the information in the prescribed format (already circulated) at the earliest. The information may be sent on email id dir-nulm@nic.in and anjali.nulm@gmail.com

Encl: A/a

Yours faithfully


(Jasleen Kaur)

Under Secretary to the Govt. of India
Tele: 011-23060484

Copy To: State Mission Director — As per List.

91

F.No. K-14014/11/2014-UPA/FTS-10201
Government of India
Ministry of Housing and Urban Poverty Alleviation
(UPA Division)

REMINDER

Nirman Bhawan, New Delhi
Dated: 30th March, 2016

To

Subject: Urban BPL population in NULM Cities -reg.

Ref: No. K-14014/11/2014-UPA/FTS-10201 dated 17th February, 2016

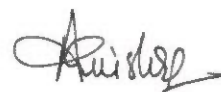
Sir/ Madam,

With reference to the subject cited above, please find enclosed copy of the letter issued dated 17th February, 2016. In this connection, it is to inform that the requisite information has not yet been furnished by your State/UT.

2. It is, therefore, requested to provide the information in the prescribed format (already circulated) at the earliest.
3. The information may be sent on email id dir-nulm@nic.in

Encl: A/a

Yours faithfully



(Avanish Kumar Mishra)
Director (UPA-II)
Tele No. 23062923

Issued (Att. Secy Secy Secy Secy)
except Goa, Himachal, & UP)
30/3/2016

o/c

F.No. K-14014/11/2014-UPA/ FTS-10201
Government of India
Ministry of Housing and Urban Poverty Alleviation
(UPA Division)

Nirman Bhawan, New Delhi
Dated: 17th February, 2016

To

(All State Secretaries)

Subject: Urban BPL population in NULM Cities -reg.

Sir/ Madam,

Under the National Urban Livelihoods Mission (NULM), the primary target is the urban poor. Thus, identification of the beneficiaries for coverage under the Mission is critical for its effective implementation. It is understood that the State/UTs have adopted certain criteria for identification/ selection of beneficiaries for coverage under the Mission.

2. It is, therefore, requested to provide the details of procedure and eligibility criteria being adopted by the States/ UTs for identification/ selection of beneficiaries under the Mission. A copy of such order/ circular may be provided to the Ministry. Further, if some survey lists have been prepared such as number of families/ beneficiaries identified/ eligible for coverage under NULM and the year of survey/ identification may also be furnished in the prescribed format attached herewith.

3. The information may be sent on email id dir-nulm@nic.in

Encl: A/a

Yours faithfully



(Avanish Kumar Mishra)

Director (UPA-II)

Tele No. 23062923

Copy To:

State Mission Director

o/c

Issued
25/2/2016

Information regarding beneficiaries under
National Urban Livelihoods Mission (NULM)

1. Name of the State/UT : _____
2. Total Urban Population : _____
3. No. of persons eligible for
beneficiaries under NULM : _____
4. Survey /Identification Year* : _____

* Copy of notification/ circular/ order regarding details of procedure and
eligibility criteria issued by the State Government may be enclosed.

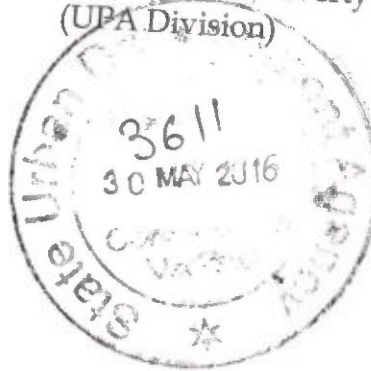
F.No. K-14014/11/2014-UPA/FTS-10201
Government of India
Ministry of Housing and Urban Poverty Alleviation
(UPA Division)

REMINDER

Nirman Bhawan, New Delhi
Dated: 12th May, 2016

To

(24 States/UTs Secretaries
As per list attached)



J.S.(SD)
22/5/16
WD A
K

Subject: Urban BPL population in NULM Cities -reg.

Ref: No. K-14014/11/2014-UPA/FTS-10201 dated 17th February, 2016

Sir/ Madam,

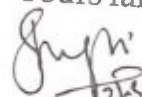
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2. In this connection it is to inform that the requisite information is still awaited in this Ministry from your State/UT.

3. It is, therefore, requested to provide the information in the prescribed format (already circulated) at the earliest. The information may be sent on email id dir-nulm@nic.in and anjali.nulm@gmail.com

Encl: A/a

Yours faithfully


(Jasleen Kaur)

Under Secretary to the Govt. of India
Tele: 011-23060484

Copy To: State Mission Director - As per List.

996/N-SMA/16
27/05/16

91
REMINDER

F.No. K-14014/11/2014-UPA/FTS-10201

Government of India

Ministry of Housing and Urban Poverty Alleviation
(UPA Division)

Nirman Bhawan, New Delhi

Dated: 30th March, 2016

To

Subject: Urban BPL population in NULM Cities -reg.

Ref: No. K-14014/11/2014-UPA/FTS-10201 dated 17th February, 2016


Sir/ Madam,

With reference to the subject cited above, please find enclosed copy of the letter issued dated 17th February, 2016. In this connection, it is to inform that the requisite information has not yet been furnished by your State/UT.

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3. The information may be sent on email id dir-nulm@nic.in

Encl: A/a

Yours faithfully



(Avanish Kumar Mishra)
Director (UPA-II)
Tele No. 23062923

Issued (All states & territories)
except Goa, Himachal & UP)
30/3/2016

o/c

F.No. K-14014/11/2014-UPA/ FTS-10201
Government of India
Ministry of Housing and Urban Poverty Alleviation
(UPA Division)

Nirman Bhawan, New Delhi
Dated: 17th February, 2016

To

(All State Secretaries)

Subject: Urban BPL population in NULM Cities -reg.

Sir/ Madam,

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Encl: A/a

Yours faithfully



(Avanish Kumar Mishra)

Director (UPA-II)

Tele No. 23062923

Copy To:

State Mission Director

Issued
CD/25/2/2016

c/c

Information regarding beneficiaries under
National Urban Livelihoods Mission (NULM)

1. Name of the State/UT : _____
2. Total Urban Population : _____
3. No. of persons eligible for
beneficiaries under NULM : _____
4. Survey /Identification Year* : _____

* Copy of notification/ circular/ order regarding details of procedure and
eligibility criteria issued by the State Government may be enclosed.

REMINDER

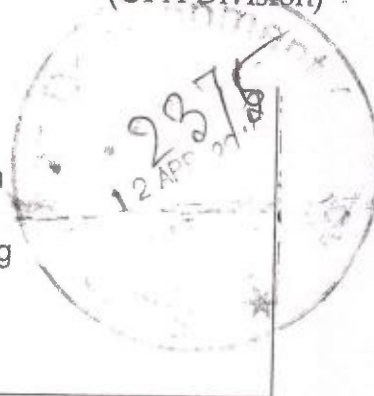
F.No. K-14014/11/2014-UPA/ FTS-10201

Government of India

Ministry of Housing and Urban Poverty Alleviation
(UPA Division)

Nirman Bhawan, New Delhi
Dated: 30th March, 2016

To Shri BP Gopalika,
Principal Secretary
Municipal Administration
Govt. of West Bengal
1st Floor Writers Building
Kolkata - 700001



For UDA

Subject: Urban BPL population in NULM Cities -reg.

Ref: No. K-14014/11/2014-UPA/FTS-10201 dated 17th February, 2016

JD (SO)
Rahul

Sir/ Madam,

With reference to the subject cited above, please find enclosed copy of the letter issued dated 17th February, 2016. In this connection, it is to inform that the requisite information has not yet been furnished by your State/UT.

2. It is, therefore, requested to provide the information in the prescribed format (already circulated) at the earliest.
3. The information may be sent on email id dir-nulm@nic.in

Encl: A/a

Yours faithfully

(Avanish Kumar Mishra)
Director (UPA-II)
Tele No. 23062923

730/NSMA/16
12/04/16

(71)

F.No. K-14014/11/2014-UPA/ FTS-10201
Government of India
Ministry of Housing and Urban Poverty Alleviation
(UPA Division)

Nirman Bhawan, New Delhi
Dated: 17th February, 2016

To

(All State Secretaries)

Subject: Urban BPL population in NULM Cities -reg.

Sir/ Madam,

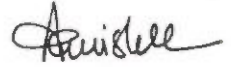
Under the National Urban Livelihoods Mission (NULM), the primary target is the urban poor. Thus, identification of the beneficiaries for coverage under the Mission is critical for its effective implementation. It is understood that the State/UTs have adopted certain criteria for identification/ selection of beneficiaries for coverage under the Mission.

2. It is, therefore, requested to provide the details of procedure and eligibility criteria being adopted by the States/ UTs for identification/ selection of beneficiaries under the Mission. A copy of such order/ circular may be provided to the Ministry. Further, if some survey lists have been prepared such as number of families/ beneficiaries identified/ eligible for coverage under NULM and the year of survey/ identification may also be furnished in the prescribed format attached herewith.

3. The information may be sent on email id dir-nulm@nic.in

Encl: A/a

Yours faithfully



(Avanish Kumar Mishra)

Director (UPA-II)

Tele No. 23062923

Copy To:

State Mission Director

o/c

Issued
25/2/2016

**Information regarding beneficiaries under
National Urban Livelihoods Mission (NULM)**

1. Name of the State/UT : _____
2. Total Urban Population : _____
3. No. of persons eligible for
beneficiaries under NULM : _____
4. Survey /Identification Year* : _____

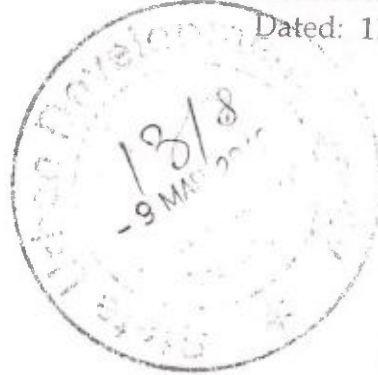
** Copy of notification/ circular/ order regarding details of procedure and eligibility criteria issued by the State Government may be enclosed.*

F.No. K-14014/11/2014-UPA/ FTS-10201
Government of India
Ministry of Housing and Urban Poverty Alleviation
(UPA Division)

Nirman Bhawan, New Delhi
Dated: 17th February, 2016

Shri BP Gopalika,
Principal Secretary
Municipal Administration
Govt. of West Bengal
1st Floor Writers Building
Kolkata - 700001

SMM-JMD



Dir-LUD A

Subject: Urban BPL population in NULM Cities -reg.

Sir/ Madam,

Under the National Urban Livelihoods Mission (NULM), the primary target is the urban poor. Thus, identification of the beneficiaries for coverage under the Mission is critical for its effective implementation. It is understood that the State/UTs have adopted certain criteria for identification/ selection of beneficiaries for coverage under the Mission.

2. It is, therefore, requested to provide the details of procedure and eligibility criteria being adopted by the States/ UTs for identification/ selection of beneficiaries under the Mission. A copy of such order/ circular may be provided to the Ministry. Further, if some survey lists have been prepared such as number of families/ beneficiaries identified/ eligible for coverage under NULM and the year of survey/ identification may also be furnished in the prescribed format attached herewith.

3. The information may be sent on email id dir-nulm@nic.in

Encl: A/a

Yours faithfully

(Aravish Kumar Mishra)
Director (UPA-II)
Tele No. 23062923

Copy To:

State Mission Director

4774/11-SMM/16
18/2/16

**Information regarding beneficiaries under
National Urban Livelihoods Mission (NULM)**

1. Name of the State/UT : _____
2. Total Urban Population : _____
3. No. of persons eligible for
beneficiaries under NULM : _____
4. Survey /Identification Year* : _____

** Copy of notification/ circular/ order regarding details of procedure and eligibility criteria issued by the State Government may be enclosed.*

সুডা

রাজ্য নগর উন্নয়ন সংস্থা

SUDA

STATE URBAN DEVELOPMENT AGENCY

“ইলগাস ভবন”, এইচ-সি ব্লক, সেক্টর-৩, বিধাননগর, কলকাতা-৭০০ ১০৬, পশ্চিমবঙ্গ
“ILGUS BHAVAN”, H-C Block, Sector - III, Bidhannagar, Kolkata - 700 106, West Bengal

ক্রমিক নং

তারিখ

To
Director (UPA-II)
Ministry of Housing and Urban Poverty Alleviation
Govt, of India
Nirman Bhavan, New Delhi

Subject : Urban BPL population in NULM cities.

Ref : Your no. Fno-K-14014/11/2014 – UPA/FTS-10201

Sir,

In pursuance of above reference enclosed herewith a letter vide no. SUDA-79/2015/728 dt. 30.06.2015 issued by undersigned to all chairperson of NULM towns fixing eligibility criteria for identification of beneficiaries for SHGs under NULM among the urban poor.

As per the decision of Govt. of West Bengal under Municipal affairs Dept. the household coming under the preview of existing BPL category as per existing BPL list will come under the preview of 70% category and household coming under the preview of National Food Security Beneficiary list (NFSA, 2013) prepared from SECC-2011 survey data and utilizing notified criteria (Notified by Food & Supplies Dept, Govt. of West Bengal) will come under the preview of 30% category (maximum).

This is for your kind perusal and for the necessary action please.

Yours faithfully ,

Director (SUDA)

Mission Director WBSULM

দূরভাষ : ২৩৫৮ ৬৪০৩ / ৫৭৬৭, ফ্যাক্স : ২৩৫৮ ৫৮০০

Tel : 2358 6403/5767, Fax : 2358 5800, E-mail : wbsudadir@gmail.com
Account Section : 2358 6408

**Information regarding beneficiaries under
National Urban Livelihoods Mission (NULM)**

1. Name of the State/UT : West Bengal
2. Total Urban Population : 2,07,57,999 (Household – 4688408)
3. No. of persons eligible for beneficiaries under NULM : 92,26,302 Beneficiaries.
4. Survey / Identification Year* : Survey done in 2007.
Summary revision has been made in 2008-09 to 2010.
Updated upto 2011.

*Copy of notification / circular / order regarding details of procedure and eligibility criteria issued by the State Government may be enclosed.

সূডা

রাজ্য নগর উন্নয়ন সংস্থা

STATE URBAN DEVELOPMENT AGENCY

SUDA

“ইলগাস ভবন”, এইচ-সি ব্লক, সেক্টর-৩, বিধাননগর, কলকাতা-৭০০ ১০৬, পশ্চিমবঙ্গ
“ILGUS BHAVAN”, H-C Block, Sector - III, Bidhannagar, Kolkata - 700 106, West Bengal

ক্রমিক নং SUDA- 79/2015/728

From : Director, SUDA &
Mission Director, WBSULM

তারিখ 30.06.2015

To : The Mayor/ Chairperson/ Administrator

..... Municipal Corporation/ Municipality

Sub : List of House hold that will come under the perview of SHG members under NULM in West Bengal.

Sir,

As per point no 4 of Operational Guideline, NULM for Social Mobilization and Institutional Development issued by Ministry of Housing & Urban Poverty Alleviation, Government of India. “under NULM, Self Help Groups of urban Poor will be formed. Non- poor may be included as members in SHGs where strong affinity or special reason exist. However at least 70% of the SHG members should be urban poor to qualify for funding support under NULM.”

Finalization of criteria for eligible members for SHGs in 70% category and in 30% category was under active consideration of the Government of West Bengal in the Municipal Affairs Department.

Now it is approved that the house hold coming under the perview of existing BPL category as per existing BPL list will come under the perview of 70% category and household coming under the perview of National Food Security Beneficiary list (NFSA, 2013) prepared from SECC-2011 survey data and utilizing notified criteria (Notified by Food & Supplies Dept, Govt of West Bengal) will come under the perview of 30% category (maximum).

Above criteria is applicable for formation of SHGs under NULM only, while benefit to the poor families/ individuals under different components of NULM will continue to the urban poor as per existing BPL list.

Yours faithfully,

WT 30/6/2015
Director, SUDA

&

Mission Director, WBSULM

দূরভাষ : ২৩৫৮ ৬৪০৩ / ৫৭৬৭, ফ্যাক্স : ২৩৫৮ ৫৮০০

Tel : 2358 6403/5767, Fax : 2358 5800, E-mail : wbsudadir@gmail.com

Account Section : 2358 6408

SUDA

SUDA- 75/2015/728/1(2)

30.06.2015

Copy for information to:

1. P.S. to MIC, MA & UD Department, Govt. of West Bengal for kind appraisal of MIC.
2. P.S. to the Principal Secretary, M.A. Dept. Govt. of West Bengal.

WT 30/6/2015
Director, SUDA
&
Mission Director, WBSULM

সূডা

রাজ্য নগর উন্নয়ন সংস্থা

SUDA

STATE URBAN DEVELOPMENT AGENCY

“ইলগাস ভবন”, এইচ-সি ব্লক, সেক্টর-৩, বিধাননগর, কলকাতা-৭০০ ১০৬, পশ্চিমবঙ্গ
“ILGUS BHAVAN”, H-C Block, Sector - III, Bidhannagar, Kolkata - 700 106, West Bengal

ক্রমিক নং SUDA- 79/2015/728

From : Director, SUDA &
Mission Director, WBSULM

তারিখ 30.06.2015

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Above criteria is applicable for formation of SHGs under NULM only, while benefit to the poor families/ individuals under different components of NULM will continue to the urban poor as per existing BPL list.

Yours faithfully,

WT 30/6/2015
Director, SUDA
&

Mission Director, WBSULM

দূরভাষ : ২৩৫৮ ৬৪০৩ / ৫৭৬৭, ফ্যাক্স : ২৩৫৮ ৫৮০০

Tel : 2358 6403/5767, Fax : 2358 5800, E-mail : wbsudadir@gmail.com
Account Section : 2358 6408

SUDA

SUDA- 79/2015/728/1(2)

Copy for information to:

30 .06.2015

1. P.S. to MIC, MA & UD Department, Govt. of West Bengal for kind appraisal of MIC.
2. P.S to the Principal Secretary, M.A. Dept. Govt. of West Bengal.

WT 30/6/2015
Director, SUDA

&
Mission Director, WBSULM

(32) 1116

**Government of West Bengal
Food and Supplies Department
11A, Mirza Ghalib Street, Kolkata-700 087**

No. 2257 - FS/Sectt/Food/14R-01/2013

Date : 16th September, 2014

NOTIFICATION

The National Food Security Act, 2013(No. 20 of 2013) has come into force on the 5th day of July, 2013. As per section 10 of the Act the State Government shall, within the number of persons determined under section 9 for the rural and urban areas, identify the households to be covered under the Antyodaya Anna Yojana(AAY) and priority households and shall update the list of eligible households from time to time.

2. Now, the Governor is pleased to notify the criteria to identify the eligible households in the urban areas, including AAY and priority households, for the purpose as stipulated in section 3(1) of the Act.
3. For the purpose of selection of such beneficiaries it has been decided to use Socio Economic and Caste Census(SECC) data as draft published or finally published based on the criterion as detailed in the Annexure to this notification.
4. It has been decided that the Department of Municipal Affairs shall apply the given criteria to determine list of such persons and then forward it to the Department of Food & Supplies. The Department of Food & Supplies on receipt of such list shall send the list to the District Monitoring Committee as constituted Vide Memo. No. 4785/RD/O/BPL/7I-2013/2013 dated 21.8.2013 by the Department of Panchayats & Rural Development, and the Monitoring Committee will endorse the list of beneficiaries and send it back to the Food & Supplies Department through the respective District Magistrate to be used for the purpose of extending benefits under the NFSA. In case of Kolkata, the list will be sent through the Commissioner, Kolkata Municipal Corporation. The entire exercise may be completed within six weeks of this notification.
5. This has concurrence of the Municipal Affairs Department vide No. 36-SMA/14 dated 8th September, 2014.

By order of the Governor,


(Anil Verma)

Commissioner of Food & Principal Secretary

(31)

No. 2257 - FS/Sectt/Food/14R-01/2013

Dated, 16th September, 2014

Copy forwarded for information and necessary action to:-

1. Principal Secretary, Municipal Affairs Department, Government of West Bengal.
2. Director, D.D.P. & S., West Bengal.
3. Director, Rationing.
4. Commissioner, Kolkata Municipal Corporation.
5. District Magistrate.....(All). The database for all ULBs under your district is enclosed in the CD . ULB wise ceiling on number of AAY households and priority households is given in Table-I and Table-II respectively. Necessary measures may be taken for selection of beneficiaries and vetting by the committee constituted by Panchayats and Rural Development Department vide No 4785-RD/O/BPL/7I-2013/2013 dated 21.08.2013. The endorsed list with comments of the said committee may be forwarded to this Department within next 6 weeks.
6. District Controller, Food & Supplies.....(All).
7. P.S to Hon'ble MIC, Food & Supplies Department, Govt. of West Bengal.
8. P.S to Chief Secretary, Govt. of West Bengal.


Joint Secretary

Food & Supplies Department

Annexure to the Notification No. 2257 - FS/Sectt/Food/14R-01/2013 Date : 16th September, 2014

Criteria adopted for the purpose of selection of NFSA beneficiaries for the Urban Areas

The number of households under automatic exclusion shall be automatically excluded from the SECC list.

- I) The number of households under automatic inclusion shall be automatically included as the first priority under the NFSA beneficiaries list.
- II) Out of this set of automatic inclusion households following criteria will be applied for selection of AAY beneficiaries subject to ceiling given in Table- I of the Annexure.

i) Any One of the Residential Vulnerability ie .

- a) Houseless.
- b) a house of roof and wall made of plastic/polythene.
- c) only one room with the material of wall being Grass, thatch, bamboo, mud, un-burnt brick or wood and the material of roof being Grass, thatch, bamboo, wood or mud

OR

ii) Occupational Vulnerability : Households has no income from any source

OR

iii) Any Two of the Social Vulnerability :

- a) Child Headed Household ie. if there is no member of the household aged 18 years and above
- b) All members of the Household aged between 18-60 years either have a disability or are chronically ill
- c) All earning adult members are either disabled, chronically ill or aged more than 65 Years

III) Once the AAY Households ceiling is achieved as per figures given in Table-I of this Annexure, the households where the degree of deprivation is within the range of Score 4-12 shall be treated as priority households subject to the ceiling as given in Table -II. This, in effect would mean that about 2,36,129 number of households will be covered under AAY households under NFSA and another 17,49,264 families will be treated as priority households thereby leading to total of 84,77,628 beneficiaries in urban areas.

[Explanatory Note :It is clarified that for the purpose of AAY, household shall be treated as a unit and for the purpose of priority households the list shall be in the form of individual beneficiary in terms of the section 3(1) of the Act]

[Signature]
SUBHRA CHAKRABORTY
Joint Secretary
Food & Supplies Department
Government of West Bengal

B. P. Gopalika, IAS
Principal Secretary



(29)
MUNICIPAL AFFAIRS DEPARTMENT
GOVERNMENT OF WEST BENGAL
WRITERS BUILDING, KOLKATA - 700 001
Phone : (033) 2214 3678
Fax : (033) 2214 3632

No.36-SMA/14

Dated, Kolkata, the 8th September, 2014

To
The Commissioner, Food &
Principal Secretary
Food and Supplies Department
Government of West Bengal
11A, Mirza Ghalib Street,
Kolkata- 700087

Sir

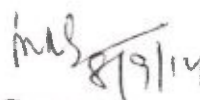
Kindly refer to the meeting held on 11.07.2014 relating to identification of beneficiaries under the provisions of National Food Security Act, 2013 in urban areas using the SECC Draft list. The draft resolution relating to guidelines and the criteria which may be applied for selection of such beneficiaries is enclosed.

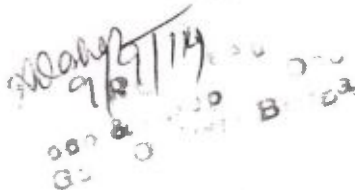
A draft notification on the criteria that may be applied by the district authorities and District Monitoring Committee constituted by the P&RD Department vide Memo No. 4875/RD/O/BPL/71-2013/2013 dated 21.08.2013 for selection of beneficiaries under AAY and NFSA in urban areas is also enclosed for your reference and necessary action.

This has approval of Hon'ble Minister-in-Charge of this Department.

Yours faithfully,

Encl. As stated above


(B.P. Gopalika)



Annexure to the Notification No.

for the purpose of selection of

NFSA beneficiaries the following criteria should be adopted :

- I) The number of households under automatic exclusion shall be automatically excluded from the SECC list.
- II) The number of households under automatic inclusion shall be automatically included as the first priority under the NFSA beneficiaries list.
- III) Out of this set of automatic inclusion households following criteria will be applied for selection of AAY beneficiaries –

i) Any One of the Residential Vulnerability ie .

- a) Houseless.
- b) a house of roof and wall made of plastic/polythene.
- c) only one room with the material of wall being Grass, thatch, bamboo, mud, un-burnt brick or wood and the material of roof being Grass, thatch, bamboo, wood or mu

OR

ii) Occupational Vulnerability : Households has no income from any source

OR

iii) Any Two of the Social Vulnerability :

- a) Child Headed Household ie. if there is no member of the household aged 18 years and above
- b) All members of the Household aged between 18-60 years either have a disability or are chronically ill
- c) All earning adult members are either disabled, chronically ill or aged more than 65 Years

Once the AAY Households ceiling is achieved as per figures given in Table-I of this Annexure, the households where the degree of deprivation within the range of Score 4-12 shall be treated as priority households subject to the ceiling as given in Table –II. This, in effect would mean that as per available data about 2,36,129 number of households will be covered under AAY households under NFSA and another 17,49,264 families will be treated as priority households thereby leading to total of 84,77,628 beneficiaries in urban areas.

[Explanatory Note :It is clarified that for the purpose of AAY, household shall be treated as a unit and for the purpose of priority households the list shall be in the form of individual beneficiary in terms of the section 3(1) of the Act]

Session of the Secretary Level Committee Meeting held on 11.07.2014 for exploring criteria for identification of "priority household" in Urban areas under National Food Security Act

Members Present :

Shri B.P.Gopalika, IAS, Principal Secretary, Municipal Affairs Department
Shri Anil Verma, IAS, Principal Secretary, Food and Supplies Department
Shri Shubhra Chakrabarti, Joint Secretary, Food and Supplies Department
Smt Sumana Bhattachaya, Deputy Director (SD), SUDA
Shri Sandip Gupta, Computer programmer, SUDA

Principal Secretary Food and Supply department apprised the house that Govt. of India has communicated the State wise percentage of coverage total population to be covered under Targeted Public Distribution System as per provision of NFSA (letter attached for ready reference). Food and Supplies Department has communicated their desire to identify the targeted population on the basis of Socio Economic Caste Census-2011 data. Socio Economic and Caste Census stratify the Socio Economic condition of the households based on Residential, Occupational & Social Vulnerabilities which includes Automatic Inclusion, Automatic Exclusion and Scoring index 1 to 12 on twelve (12) vulnerabilities of remaining households [attached for ready reference]. The frequency distribution of the Urban households based on these degree of deprivation criteria as per SECC result which has been published as draft is shown in the table below:

	No. of Household	Percentage	Estimated number of individuals
Total Families as per SECC-2011	45,49,601		1,94,26,796
No. of Families under Automatic Exclusion	12,36,041	27.17	52,77,895
No. of Families under Automatic Inclusion	14,24,033	31.30	60,80,621
No. of Families under Scoring Index	18,89,527	41.53	80,68,280
No. of Households having Score having No Deprivation, ie, Score Zero	5,51,499	2.84	23,54,901
No. of Households having Score 1 to 12 including fig of Auto Inclusion	27,62,061	60.71	1,17,94,000
No. of Households having Score 2 to 12 including fig of Auto Inclusion	26,29,314	57.79	1,12,27,171
No. of Households having Score 3 to 12 including fig of Auto Inclusion	22,03,438	48.43	94,08,680
No. of Households having Score 4 to 12 including fig of Auto Inclusion	19,85,393	43.64	84,77,628
No. of Households having Score 5 to 12 including fig of Auto Inclusion	18,33,477	40.30	78,28,947
No. of Households having Score 6 to 12 including fig of Auto Inclusion	16,05,417	35.29	68,55,131
No. of Households having Score 7 to 12 including fig of Auto Inclusion	15,51,505	34.10	66,24,926
No. of Households having Score 8 to 12 including fig of Auto Inclusion	14,69,021	32.29	62,72,720
No. of Households having Score 9 to 12 including fig of Auto Inclusion	14,56,707	32.02	62,20,139
No. of Households having Score 10 to 12 including fig of Auto Inclusion	14,24,942	31.32	60,84,502
No. of Households having Score 11 to 12 including fig of Auto Inclusion	14,24,115	31.30	60,80,971
No. of Households having Score 12 to 12 including fig of Auto Inclusion	14,24,035	31.30	60,80,629

From the table it transpires that 85 Lakh ie. 43.64% of total population are covered if "the degree of deprivation within the range of Score 4-12" criteria are applied". This figure includes the individuals coming under automatic inclusion. Hence the committee recommends for adopting the criteria of " the degree of deprivation within the range of Score 4-12" in addition to the number of households considered for automatic inclusion for identifying beneficiaries under NFSA.

For Antyodaya Anna Yojana (AAY) :

Residential Vulnerability		
	Number of Households	Percentage with respect to Total Households
a) Household is Houseless	11999	0.26
b) Household has a house of roof and wall made of plastic/polythene	6666	0.15
c) Household has a house of only one room with the material of wall being Grass, thatch, bamboo, mud, un-burnt brick or wood and the material of roof being Grass, thatch, bamboo, wood or mud	33600	0.74
Occupational Vulnerability		
a) Household has no income from any source	146493	3.22
b) Any Household member (including children) who is engaged in a vulnerable occupation like beggar/rag picker/domestic worker (who are actually paid wages) /sweeper/sanitation worker/mali	105698	2.32
c) All earning adult members in a households are daily/ir-regular wagers	996222	21.90
Social Vulnerability		
a) Child Headed Household ie. if there is no member of the household aged 18 years and above	1387	0.03
b) All members of the Household aged between 18-60 years either have a disability or are chronically ill	7961	0.17
c) All earning adult members are either disabled, chronically ill or aged more than 65 Years	114007	2.51
TOTAL NUMBER OF HOUSEHOLD AUTOMATCALLY INCLUDED	1424033	31.30

By using combination of different indicators pertaining to "Automatic Inclusion", committee recommends for adopting the following criteria of "AAY" for identifying beneficiaries under NFSA for the families having ---

I) **Any One of the Residential Vulnerability** ie . a) Houseless, b) a house of roof and wall made of plastic/polythene , c) only one room with the material of wall being Grass, thatch, bamboo, mud, un-burnt brick or wood and the material of roof being Grass, thatch, bamboo, wood or mud ;

OR

II) **Occupational Vulnerability** : Households has no income from any source ;

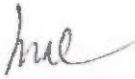
OR

(22)

11) Any Two of the Social Vulnerability : a) Child Headed Household ie. No member aged 18 years and above , b) All members of the Household aged between 18-60 years either have a disability or are chronically ill c) All earning adult members are either disabled, chronically ill or aged more than 65 Years

From the above mentioned criteria it transpires that 2,36,129 number of households are covered under AAY. It may be mentioned that the figure given in the table is as per draft publication. The figure may vary marginally after final publication based on claims and objection.

The meeting ended with vote of thanks



Shri B.P.Gopalika, IAS,
Principal Secretary,
Municipal Affairs Department



Shri Anil Verma
Principal Secretary
Food and Supplies Department

55(10)
Director
55(10)
F.No.M-11019/13/2011-PP
Planning Commission
Government of India
(Perspective Planning Division)

984
Yojana Bhawan, Sansad Marg
New Delhi, 25th May 2012

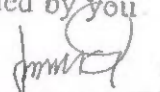
Meeting Notice

Subject: Consultation with the States / Union Territories to discuss the Methodology for Identification of Families Living Below Poverty Line (BPL) in Urban Areas.

An Expert Group was constituted by the Planning Commission under the Chairmanship of Prof. S. R. Hashim, Ex-Member, Planning Commission, to recommend a detailed methodology for Identification of Families Living Below Poverty Line (BPL) in the Urban Areas. After detailed deliberations, the Expert Group have prepared a draft methodology to identify the BPL families in the Urban Areas. Now it has been decided to share this draft methodology with the States/UTs in order to get the inputs based on their experience.

Accordingly, the Expert Group headed by Prof. S.R. Hashim would meet the representatives of States/UTs on 7th June, 2012. at 2:30 P.M. in Room No. 103, 'A' Wing, CPWD Conference Hall, 1st Floor, Nirman Bhawan, Maulana Azad Road, New Delhi-110011 (Entry from Gate No.3) to discuss this draft methodology. A copy of the draft methodology proposed to be discussed is enclosed for your reference.

You are requested to kindly make it convenient to attend the meeting. Keeping in view the importance of this issue, it would be appreciated if this meeting is attended by you in person.


(B.D. Virdi)
Adviser (PP)

Phone : 23096763

E-mail : bdvirdi@nic.in

Encl: As above

To:

1. Principal Secretary/Secretary of State /UT Governments (As per List Attached)
2. All Members of the Expert Group (As per list Attached)

Copy for Information to:

1. PS to DCH
2. PS to Member (SC)
3. PS to Secretary
4. PS to AS&FA
5. All Officers of PPD

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The following automatic inclusion criteria would be applied in the second stage:

a) Residential Vulnerability

- i. If the household is 'houseless'¹.
- ii. If the household has a house of roof and wall made of plastic/polythene.
- iii. If the household has a house of only one room with the material of wall being grass, thatch, bamboo, mud, un-burnt brick or wood and the material of roof being grass, thatch, bamboo, wood or mud.

b) Occupational vulnerability

- i. If the household has no income from any source, then that household will be automatically included.
- ii. Any household member (including children) who is engaged in a vulnerable occupation like beggar/rag picker, domestic worker (who are actually paid wages) and sweeper/sanitation worker /mali should be automatically included.
- iii. If all earning adult members in a household are daily wagers or ir-regular wagers, then that household should be automatically included.

c) Social Vulnerability

- i. Child-headed household i.e. If there is no member of the household aged 18 years and above.
- ii. If there is no able-bodied person aged between 18 and 60 years in the household, i.e. all members of the household aged between 18 and 60 years either have a disability² or are chronically ill³.
- iii. If all earning adult members in a household are either disabled, chronically ill or aged more than 65 years then that household should be automatically included.

¹ Households who do not live in buildings or census houses (structure with roof) but live in the open on roadside, pavements, in hume pipes, under fly-overs and staircases, or in the open in places of worship, mandaps, railway platforms, etc. are treated as Houseless households (The Census of India, 2001).

² SECC defines disability as disability in terms of seeing, hearing, speech, movement, mental retardation, mental illness, other disability, multiple disability.

³ According to SECC chronic illness include cancer, TB, leprocy, other illness.

Occupational Vulnerability

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S. No.	Indicator	Score
A	Any of the following occupations of the head of the household: <ul style="list-style-type: none"> • Street vendor/cobbler/hawker • Construction/plumber/mason/labour/painter/welder/security guard • Home-based/artisans/Tailor • Transport worker/driver/conductor/helper to drivers and conductors/ cart puller/ rickshaw • Washermen/ Dhobi/ Chowkidar • Coolie/Head-loader 	2
B	Any of the following occupations of the head of the household: <ul style="list-style-type: none"> • Shop-worker / Assistant / Helper / Peon in small establishment / Attendant / Waiter • Electrician / mechanic / assembler / repair worker 	1
C	Households where the main source of income of the head of the household is through a weekly /daily wage earning.	2
D	Households where there is no enterprise / wage earning i.e. non-work and the earnings are chiefly through any one of the following means i.e. (a) Pension; (b) Interest; and/or (c) Rent	1

Maximum Score- 2

TABLE - I

Number of Households to be covered under Antyodaya Anna Yojana
Based on Draft List of SECC-2011

Sl. No.	ULB code	ULB Name	Total no. of HH Automatically Included	% of Households Automatically Included with respect to Total Households	Total no. of HH considered for Scoring Index	% of Households considered under Scoring Index with respect to Total Households	Total no. of HH Automatically Excluded	% of Households Automatically Excluded with respect to Total Households	Total No. of Households as per SECC-2011	Total No. of Population as per SECC-2011	Households to be covered under AAY
Darjeeling	7001	Darjeeling	5147	22.24	12119	52.36	5881	25.41	23147	109182	1415
	7002	Kalimpong	2319	26.06	3891	43.72	2690	30.22	8900	41815	415
	7003	Mirik	500	22.97	1122	51.54	555	25.49	2177	9461	72
	7004	Kurseong	1187	20.96	2712	47.90	1763	31.14	5662	24993	492
	7005	Siliguri MC	17624	29.67	22234	37.44	19534	32.89	59392	264363	2148
Jalpaiguri	7006	Siliguri MC	13159	29.42	21577	48.24	9989	22.33	44725	230262	1786
	7007	Mai	2606	44.85	2182	37.55	1023	17.60	5811	24777	439
	7008	Jalpaiguri	8127	32.92	7807	31.63	8752	35.45	24686	100634	1053
	7009	Dhupguri	6091	59.44	3032	29.59	1124	10.97	10247	44208	510
	7010	Alipurdwar	7335	48.68	5250	34.84	2482	16.47	15067	62685	1013
Haldibari	7011	Haldibari	1865	55.72	1079	32.24	403	12.04	3347	14377	128
	7012	Mekliganj	1351	62.46	705	32.59	107	4.95	2163	8913	262
	7013	Mathabhanga	2849	50.12	1781	31.33	1054	18.54	5684	23671	170
	7014	Cooch Behar	5838	34.22	6356	37.26	4866	28.52	17060	71159	779
	7015	Tufanganj	2598	50.71	1728	33.73	797	15.56	5123	20868	271
Dinhata	7016	Dinhata	4004	46.81	3063	35.81	1486	17.37	8553	35457	532
	7017	Islampur	5385	48.01	3872	34.52	1959	17.47	11216	53878	592
	7018	Dalkhola	3776	56.22	1993	29.68	947	14.10	6716	37027	519
	7019	Raiganj	17780	49.17	11882	32.86	6501	17.98	36163	185998	3772
	7020	Kaliyaganj	6232	52.73	3553	30.06	2033	17.20	11818	51157	642
Gangarampur	7021	Gangarampur	6576	52.72	4463	35.78	1434	11.50	12473	56014	481
	7022	Balurghat	13242	34.42	15736	40.91	9489	24.67	38467	151172	2132
	7023	Old Malda	7817	49.19	5349	33.66	2724	17.14	15890	76117	560
	7024	English Bazar	14435	28.87	19226	38.45	16340	32.68	50001	223638	1868
	7025	Dhuliyani	4480	27.38	10612	64.86	1270	7.76	16362	98218	400
Jangipur	7026	Jangipur	6502	38.03	6540	38.26	4053	23.71	17095	88160	762
	7027	Jaiaganj Azimganj	6547	55.38	2867	24.25	2407	20.36	11821	52706	665
	7028	Murshidabad	5821	60.05	2206	22.76	1666	17.19	9693	44159	576
	7029	Kandi	6364	52.58	3205	26.48	2534	20.94	12103	54469	1423

**Number of Households to be covered under Antyodaya Anna Yojana
Based on Draft List of SECC-2011**

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ULB code	ULB Name	Total no. of HH Automatically Included	% of Households Automatically Included with respect to Total Households	Total no. of HH considered for Scoring Index	% of Households considered under Scoring Index with respect to Total Households	Total no. of HH Automatically Excluded	% of Households Automatically Excluded with respect to Total Households	Total No. of Households as per SECC-2011	Total No. of Population as per SECC-2011	Households to be covered under AAY
	7030 Behampore	14300	31.12	16590	36.10	15063	32.78	45953	207482	2382
	7031 Beldanga	3304	49.30	1972	29.42	1426	21.28	6702	30364	286
Birbhum	7032 Nalhati	5905	66.49	1605	18.07	1371	15.44	8881	41052	1300
	7033 Rampurhat	6071	47.81	3164	24.92	3464	27.28	12699	55971	1884
	7034 Suri	5626	37.37	3536	23.49	5891	39.14	15053	66419	1775
	7035 Sainthia	5170	50.20	3171	30.79	1957	19.00	10298	45206	1506
	7036 Dubrajpur	5180	62.40	1922	23.15	1199	14.44	8301	38133	2188
	7037 Bolpur	7186	39.23	5484	29.94	5649	30.84	18319	77657	2082
	7038 Kulti	16128	33.57	19766	41.15	12144	25.28	48038	267795	1883
Bardhaman	7039 Asansol MC	33778	33.10	36118	35.40	32143	31.50	102039	525549	7732
	7040 Jamuria	11162	42.51	9833	37.45	5264	20.05	26259	146556	990
	7041 Raniganj	7449	30.85	10474	43.38	6220	25.76	24143	126672	862
	7042 Durgapur MC	32967	27.46	50899	42.39	36201	30.15	120067	531592	3176
	7043 Katwa	8216	43.45	5154	27.26	5537	29.29	18907	80931	1211
	7044 Dainhat	3434	62.30	1386	25.15	692	12.55	5512	24365	503
	7045 Gushkara	4924	60.93	1865	23.08	1293	16.00	8082	35484	1927
	7046 Burdwan	24100	35.43	19608	28.83	24311	35.74	68019	293470	3855
	7047 Kalna	4997	39.84	4516	36.00	3031	24.16	12544	52667	635
	7048 Memari	3929	40.85	3108	32.31	2582	26.84	9619	42273	507
	7049 Nabadwip	13731	39.91	15731	45.73	4939	14.36	34401	142388	3273
Nadia	7050 Krishnagar	16075	43.58	10907	29.57	9901	26.84	36883	147692	1746
	7051 Santipur	18700	52.98	11868	33.62	4729	13.40	35297	147230	1633
	7052 Taherpur	3285	61.47	1514	28.33	545	10.20	5344	21265	341
	7053 Birnagar	4028	59.71	1864	27.63	854	12.66	6746	29669	391
	7054 Ranaghat	6780	39.64	5171	30.23	5154	30.13	17105	68323	557
	7055 Coopers camp	3190	70.72	1017	22.54	304	6.74	4511	19418	228
	7056 Chakdah	10513	46.27	7682	33.81	4525	19.92	22720	93550	976
	7057 Kalyani	8284	36.86	7096	31.57	7096	31.57	22476	91295	838
	7058 Gayeshpur	5821	42.29	5448	39.58	2497	18.14	13766	56361	741

**Number of Households to be covered under Antyodaya Anna Yojana
Based on Draft List of SECC-2011**

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North Twenty Four Parganas

ULB code	ULB Name	Total no. of HH Automatically Included	% of Households Automatically Included with respect to Total Households	Total no. of HH considered for Scoring Index	% of Households considered under Scoring Index with respect to Total Households	Total no. of HH Automatically Excluded	% of Households Automatically Excluded with respect to Total Households	Total No. of Households as per SECC-2011	Total No. of Population as per SECC-2011	Households to be covered under AAY
7059	Bongaon	12079	47.44	8558	33.61	4824	18.95	25461	104732	1274
7060	Halisahar	8560	30.12	15099	53.13	4762	16.76	28421	115734	1444
7061	Kanchrapara	9287	32.92	12551	44.49	6372	22.59	28210	125493	1037
7062	Naihati	12883	32.41	16611	41.78	10261	25.81	39755	196820	2074
7063	Bhatpara	26360	32.21	43927	53.67	11556	14.12	81843	344076	3927
7064	Gobardanga	5201	46.23	4143	36.82	1907	16.95	11251	43876	512
7065	Habra	14161	41.23	13497	39.29	6691	19.48	34349	140937	1480
7066	Ashoknagar Kalyanga	12496	42.19	12120	40.92	5002	16.89	29618	118062	1433
7067	Garulia	5657	31.31	8893	49.22	3518	19.47	18068	80155	606
7068	North Barrackpore	8289	23.86	16229	46.71	10227	29.43	34745	134219	1576
7069	Barrackpur Cantonment	861	31.64	1274	46.82	586	21.54	2721	11990	136
7070	Barrackpore	7917	21.90	15840	43.82	12394	34.28	36151	146029	1618
7071	Titagarh	7225	31.85	13626	60.06	1836	8.09	22687	97411	1322
7072	Khordah	4676	21.21	9096	41.26	8271	37.52	22043	83631	879
7073	Panihati	20305	24.66	36766	44.66	25262	30.68	82333	315609	2946
7074	Baduria	5468	45.82	5284	44.28	1181	9.90	11933	51193	556
7075	Barasat	22484	35.68	20249	32.13	20291	32.20	63024	248013	2118
7076	Madhyamgram	15120	33.44	15959	35.30	14131	31.26	45210	176724	2539
7077	New Barrackpore	6326	32.46	7536	38.67	5626	28.87	19488	73123	808
7078	North DumDum	21577	34.58	23513	37.68	17305	27.73	62395	241102	2608
7079	Kamarhati	14028	21.63	33100	51.04	17727	27.33	64855	273492	3144
7080	Baranagar	13155	24.72	22922	43.07	17139	32.21	53216	197361	2350
7081	DumDum	5734	24.01	10512	44.02	7634	31.97	23880	87074	938
7082	South DumDum	23375	22.60	38066	36.80	41998	40.60	103439	387241	3958
7083	Rajahat Gopalpur	32873	33.94	31895	32.93	32084	33.13	96852	385210	2820
7084	Bidhannagar	10235	20.16	17838	35.14	22689	44.70	50762	215779	1257
7085	Nabadiganta	2	1.75	112	98.25	0	0.00	114	438	2
7086	Basirhat	13788	47.55	9678	33.37	5533	19.08	28999	124575	1610
7087	Taki	4572	52.34	2789	31.93	1374	15.73	8735	37591	409

**Number of Households to be covered under Antyodaya Anna Yojana
Based on Draft List of SECC-2011**

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	ULB code	ULB Name	Total no. of HH Automatically Included	% of Households Automatically Included with respect to Total Households	Total no. of HH considered for Scoring Index	% of Households considered under Scoring Index with respect to Total Households	Total no. of HH Automatically Excluded	% of Households Automatically Excluded with respect to Total Households	Total No. of Households as per SECC-2011	Total No. of Population as per SECC-2011	Households to be covered under AAY
Hugli	7088	Bansberia	8694	38.24	10270	45.17	3774	16.60	22738	96479	939
	7089	Hoogly Chinsura	14890	34.31	15600	35.94	12914	29.75	43404	169706	2761
	7090	Chandannagar MC	12106	30.13	15066	37.49	13012	32.38	40184	160330	1897
	7091	Tarakeswar	2717	43.53	1982	31.75	1543	24.72	6242	25926	433
	7092	Arambagh	7095	48.53	3540	24.21	3986	27.26	14621	65772	1232
	7093	Bhadreswar	6245	29.32	11412	53.58	3641	17.10	21298	92579	1143
	7094	Champdani	6237	26.96	13612	58.84	3286	14.20	23135	106604	1535
	7095	Baidyabati	9311	28.76	13603	42.01	9465	29.23	32379	128808	1947
	7096	Serampore	10581	24.26	21217	48.64	11820	27.10	43618	185378	4080
	7097	Rishra	5966	23.39	13689	53.66	5854	22.95	25509	112289	1570
	7098	Konnagar	5139	26.77	8114	42.26	5947	30.97	19200	72298	1223
	7099	Dankuni	8505	35.38	10901	45.35	4630	19.26	24036	98990	1321
	7100	Uttarpara Kotrung	9561	23.10	16802	40.59	15029	36.31	41392	161184	1925
Bankura	7101	Bankura	12104	41.00	9440	31.98	7975	27.02	29519	135910	1253
	7102	Sonamukhi	3647	53.13	2095	30.52	1122	16.35	6864	29069	1084
	7103	Bishnupur	6450	41.79	5434	35.20	3552	23.01	15436	69328	975
Puruliya	7104	Jhalda	1933	54.54	996	28.10	615	17.35	3544	19488	213
	7105	Raghunathpur	1942	44.08	1061	24.08	1403	31.84	4406	23621	350
	7106	Purulia	7826	35.72	6971	31.82	7110	32.46	21907	112692	827
Haora	7107	Bally	8520	18.87	22773	50.44	13857	30.69	45150	191926	1985
	7108	Howrah MC	48172	21.07	116712	51.05	63723	27.87	228607	995236	9045
	7109	Uluberia	14920	30.88	26763	55.39	6638	13.74	48321	228691	2467
Kolkata	7110	Kolkata MC	224698	23.89	434587	46.21	281276	29.91	940561	3846767	45985
Twenty Four Parganas	7111	Maheshtala	25299	26.18	51659	53.46	19673	20.36	96631	424568	4305
	7112	Budge Budge	6665	38.25	7856	45.08	2904	16.67	17425	75255	895
	7113	Pujali	3682	46.02	3563	44.53	756	9.45	8001	34736	550
	7114	Rajpur Sonarpur	32693	32.66	38939	38.90	28459	28.43	100091	394517	4888
	7115	Baruipur	3273	25.80	4355	34.33	5057	39.87	12685	49793	574

**Number of Households to be covered under Antyodaya Anna Yojana
Based on Draft List of SECC-2011**

Sl.	ULB code	ULB Name	Total no. of HH Automatically Included	% of Households Automatically Included with respect to Total Households	Total no. of HH considered for Scoring Index	% of Households considered under Scoring Index with respect to Total Households	Total no. of HH Automatically Excluded	% of Households Automatically Excluded with respect to Total Households	Total No. of Households as per SECC-2011	Total No. of Population as per SECC-2011	Households to be covered under AAY
South	7116	Diamond Harbour	4232	44.19	2914	30.43	2431	25.38	9577	39935	947
	7117	Jainagar Majilpur	1971	39.80	1768	35.70	1213	24.50	4952	21259	292
PaschimMedinipur	7118	Ranjibanpur	2408	56.75	1461	34.43	374	8.81	4243	19986	223
	7119	Khirpai	2395	66.01	870	23.98	363	10.01	3628	16615	435
	7120	Chandrakona	2988	56.64	1641	31.11	646	12.25	5275	23994	410
	7121	Kharar	1357	52.19	773	29.73	470	18.08	2600	11964	627
	7122	Ghatal	4135	37.89	3929	36.01	2848	26.10	10912	52463	779
	7123	Midnapore	12606	35.92	11461	32.66	11027	31.42	35094	158889	2271
	7124	Jhargram	5247	37.03	4741	33.46	4180	29.50	14168	61907	1171
	7125	Kharaghpur	12453	30.79	15843	39.17	12148	30.04	40444	185195	2834
PurbaMedinipur	7126	Panskura	5575	48.66	3499	30.54	2383	20.80	11457	57572	688
	7127	Tamluk	6126	43.91	4481	32.12	3345	23.98	13952	63133	713
	7128	Haldia	15148	37.69	14452	35.96	10589	26.35	40189	179654	3064
	7129	Egra	3881	60.27	1656	25.72	902	14.01	6439	30318	1424
	7130	Contai	8156	43.01	6732	35.50	4075	21.49	18963	105538	2183
STATE TOTAL			1424033	31.30	1889527	41.53	1236041	27.17	4549601	19460419	236129

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List Name	ULB code	ULB Name	Total no. of HH Automatically Included	% of Households Automatically Included with respect to Total Households	Total no. of HH considered for Scoring Index	% of Households considered under Scoring Index with respect to Total Households	Total no. of HH Automatically Excluded	% of Households Automatically Excluded with respect to Total Households	Total no. of Households as per SECC-2011	Total Population as per SECC-2011	Households be considered as "Priority Households"
Darjeeling	7001	Darjeeling	5147	22.24	12119	52.36	5881	25.41	23147	109182	10139
	7002	Kalimpong	2319	26.06	3891	43.72	2690	30.22	8900	41815	4076
	7003	Mirik	500	22.97	1122	51.54	555	25.49	2177	9461	1270
	7004	Kurseong	1187	20.96	2712	47.90	1763	31.14	5662	24993	1720
	7005	Siliguri MC	17624	29.67	22234	37.44	19534	32.89	59392	264363	20891
Jalpaiguri	7006	Siliguri MC	13159	29.42	21577	48.24	9989	22.33	44725	230262	18763
	7007	Mal	2606	44.85	2182	37.55	1023	17.60	5811	24777	2974
	7008	Jalpaiguri	8127	32.92	7807	31.63	8752	35.45	24686	100634	9020
	7009	Dhupguri	6091	59.44	3032	29.59	1124	10.97	10247	44208	6806
	7010	Alipurduar	7335	48.68	5250	34.84	2482	16.47	15067	62685	7683
Koch Bihar	7011	Haldibari	1865	55.72	1079	32.24	403	12.04	3347	14377	2121
	7012	Mekliganj	1351	62.46	705	32.59	107	4.95	2163	8913	1417
	7013	Mathabhanga	2849	50.12	1781	31.33	1054	18.54	5684	23671	3145
	7014	Cooch Behar	5838	34.22	6356	37.26	4866	28.52	17060	71159	6578
	7015	Tufanganj	2598	50.71	1728	33.73	797	15.56	5123	20868	2746
	7016	Dinhat	4004	46.81	3063	35.81	1486	17.37	8553	35457	4277
	7017	Islampur	5385	48.01	3872	34.52	1959	17.47	11216	53878	5804
	7018	Dalkhola	3776	56.22	1993	29.68	947	14.10	6716	37027	4405
	7019	Raiganj	17780	49.17	11882	32.86	6501	17.98	36163	185998	17798
	7020	Kaliyaganj	6232	52.73	3553	30.06	2033	17.20	11818	51157	6898
Jalpaiguri	7021	Gangarampur	6576	52.72	4463	35.78	1434	11.50	12473	56014	8452
	7022	Balurghat	13242	34.42	15736	40.91	9489	24.67	38467	151172	15439
	7023	Old Malda	7817	49.19	5349	33.66	2724	17.14	15890	76117	9584
	7024	English Bazar	14435	28.87	19226	38.45	16340	32.68	50001	223638	16211
	7025	Dhuliyan	4480	27.38	10612	64.86	1270	7.76	16362	98218	12135
	7026	Jangipur	6502	38.03	6540	38.26	4053	23.71	17095	88160	9984
	7027	Jaiaganj Azimganj	6547	55.38	2867	24.25	2407	20.36	11821	52706	7157
	7028	Murshidabad	5821	60.05	2206	22.76	1666	17.19	9693	44159	6339
	7029	Kandi	6364	52.58	3205	26.10	2334	20.94	12103	54400	7157

TABLE - II

Number of Households to be considered as "Priority Households"
Based on Draft List of SECC-2011

Based on Draft List of SECC-2011											
ULB Name	ULB code	Total no. of HH Automatically Included	% of Households Automatically Included with respect to Total Households	Total no. of HH considered for Scoring Index	% of Households considered under Scoring Index with respect to Total Households	Total no. of HH Automatically Excluded	% of Households Automatically Excluded with respect to Total Households	Total no. of Households as per SECC-2011	Total Population as per SECC-2011	Households to be considered as "Priority Households"	
Birbhum	7030	Behampore	14300	31.12	16590	36.10	15063	32.78	45953	207482	14812
	7031	Beldanga	3304	49.30	1972	29.42	1426	21.28	6702	30364	3816
	7032	Naihati	5905	66.49	1605	18.07	1371	15.44	8881	41052	5379
	7033	Rampurhat	6071	47.81	3164	24.92	3464	27.28	12699	55971	5244
	7034	Suri	5626	37.37	3536	23.49	5891	39.14	15053	66419	4984
	7035	Sainthia	5170	50.20	3171	30.79	1957	19.00	10298	45206	5308
	7036	Dubrajpur	5180	62.40	1922	23.15	1199	14.44	8301	38133	4068
	7037	Bolpur	7186	39.23	5484	29.94	5649	30.84	18319	77657	7114
Bardhaman	7038	Kulti	16128	33.57	19766	41.15	12144	25.28	48038	267795	25304
	7039	Asansol MC	33778	33.10	36118	35.40	32143	31.50	102039	525549	39190
	7040	Jamuria	11162	42.51	9833	37.45	5264	20.05	26259	146556	15669
	7041	Raniganj	7449	30.85	10474	43.38	6220	25.76	24143	126672	11581
	7042	Durgapur MC	32967	27.46	50899	42.39	36201	30.15	120067	531592	50122
	7043	Katwa	8216	43.45	5154	27.26	5537	29.29	18907	80931	8601
	7044	Dainhat	3434	62.30	1386	25.15	692	12.55	5512	24365	3666
	7045	Gushkara	4924	60.93	1865	23.08	1293	16.00	8082	35484	3817
	7046	Burdwan	24100	35.43	19608	28.83	24311	35.74	68019	293470	26258
	7047	Kalna	4997	39.84	4516	36.00	3031	24.16	12544	52667	6256
	7048	Memari	3929	40.85	3108	32.31	2582	26.84	9619	42273	4943
	7049	Nabadwip	13731	39.91	15731	45.73	4939	14.36	34401	142388	17222
	7050	Krishnagar	16075	43.58	10907	29.57	9901	26.84	36883	147692	17590
	7051	Santipur	18700	52.98	11868	33.62	4729	13.40	35297	147230	22614
	7052	Taherpur	3285	61.47	1514	28.33	545	10.20	5344	21265	3415
	7053	Birnagar	4028	59.71	1864	27.63	854	12.66	6746	29669	4674
	7054	Ranaghat	6780	39.64	5171	30.23	5154	30.13	17105	68323	7237
	7055	Coopers camp	3190	70.72	1017	22.54	304	6.74	4511	19418	3606
	7056	Chakdah	10513	46.27	7682	33.81	4525	19.92	22720	93550	11983
	7057	Kalyani	8284	36.86	7096	31.57	7096	31.57	22476	91295	10700
	7058	Gayeshpur	5821	42.29	5448	39.58	2497	18.14	13766	56301	6255

TABLE - II

Number of Households to be considered as "Priority Households"
Based on Draft List of SECC-2011

Sl. No.	ULB code	ULB Name	Total no. of HH Automatically Included	% of Households Automatically Included with respect to Total Households	Total no. of HH considered for Scoring Index	% of Households considered under Scoring Index with respect to Total Households	Total no. of HH Automatically Excluded	% of Households Automatically Excluded with respect to Total Households	Total no. of Households as per SECC-2011	Total Population as per SECC-2011	Households to be considered as "Priority Households"
7059		Bongaon	12079	47.44	8558	33.61	4824	18.95	25461	104732	14117
7060		Halisahar	8560	30.12	15099	53.13	4762	16.76	28421	115734	14320
7061		Kanchrapara	9287	32.92	12551	44.49	6372	22.59	28210	125493	10996
7062		Nalhati	12883	32.41	16611	41.78	10261	25.81	39755	196820	14484
7063		Bhatpara	26360	32.21	43927	53.67	11556	14.12	81843	344076	40984
7064		Gobardanga	5201	46.23	4143	36.82	1907	16.95	11251	43876	6427
7065		Habra	14161	41.23	13497	39.29	6691	19.48	34349	140937	17708
7066		Ashoknagar Kalyanga	12496	42.19	12120	40.92	5002	16.89	29618	118062	17600
7067		Garulia	5657	31.31	8893	49.22	3518	19.47	18068	80155	7828
7068		North Barrackpore	8289	23.86	16229	46.71	10227	29.43	34745	134219	9731
7069		Barrackpur Cantonment	861	31.64	1274	46.82	586	21.54	2721	11990	926
7070		Barrackpore	7917	21.90	15840	43.82	12394	34.28	36151	146029	9423
7071		Titagarh	7225	31.85	13626	60.06	1836	8.09	22687	97411	12052
7072		Khardah	4676	21.21	9096	41.26	8271	37.52	22043	83631	5114
7073		Panihati	20305	24.66	36766	44.66	25262	30.68	82333	315609	23838
7074		Baduria	5468	45.82	5284	44.28	1181	9.90	11933	51193	7807
7075		Barasat	22484	35.68	20249	32.13	20291	32.20	63024	248013	25328
7076		Madhyamgram	15120	33.44	15959	35.30	14131	31.26	45210	176724	16544
7077		New Barrackpore	6326	32.46	7536	38.67	5626	28.87	19488	73123	7663
7078		North DumDum	21577	34.58	23513	37.68	17305	27.73	62395	241102	25266
7079		Kamarhati	14028	21.63	33100	51.04	17727	27.33	64855	273492	19483
7080		Baranagar	13155	24.72	22922	43.07	17139	32.21	53216	197361	15127
7081		DumDum	5734	24.01	10512	44.02	7634	31.97	23880	87074	5697
7082		South DumDum	23375	22.60	38066	36.80	41998	40.60	103439	387241	27565
7083		Rajahat Gopalpur	32873	33.94	31895	32.93	32084	33.13	96852	385210	38506
7084		Bidhannagar	10235	20.16	17838	35.14	22689	44.70	50762	215779	14430
7085		Nabadiganta	2	1.75	112	98.25	0	0.00	114	438	3
7086		Basirhat	13788	47.55	9678	33.37	5533	19.08	28999	124575	16164

TABLE - II

Number of Households to be considered as "Priority Households"
Based on Draft List of SECC-2011

Dist Name	ULB code	ULB Name	Total no. of HH Automatically Included	% of Households Automatically included with respect to Total Households	Total no. of HH considered for Scoring Index	% of Households considered under Scoring Index with respect to Total Households	Total no. of HH Automatically Excluded	% of Households Automatically Excluded with respect to Total Households	Total no. of Households as per SECC-2011	Total Population as per SECC-2011	Households to be considered as "Priority Households"
Hugli	7087	Taki	4572	52.34	2789	31.93	1374	15.73	8735	37591	5493
	7088	Bansberia	8694	38.24	10270	45.17	3774	16.60	22738	96479	12268
	7089	Hoogly Chinsurah	14890	34.31	15600	35.94	12914	29.75	43404	169706	15956
	7090	Chandannagar MC	12106	30.13	15066	37.49	13012	32.38	40184	160330	14992
	7091	Tarakeswar	2717	43.53	1982	31.75	1543	24.72	6242	25926	3011
	7092	Arambagh	7095	48.53	3540	24.21	3986	27.26	14621	65772	7449
	7093	Bhadreswar	6245	29.32	11412	53.58	3641	17.10	21298	92579	9121
	7094	Chamdani	6237	26.96	13612	58.84	3286	14.20	23135	106604	10202
	7095	Baidyabati	9311	28.76	13603	42.01	9465	29.23	32379	128808	10752
	7096	Serampore	10581	24.26	21217	48.64	11820	27.10	43618	185378	10650
	7097	Rishra	5966	23.39	13689	53.66	5854	22.95	25509	112289	7784
	7098	Konnagar	5139	26.77	8114	42.26	5947	30.97	19200	72298	5564
	7099	Dankuni	8505	35.38	10901	45.35	4630	19.26	24036	98990	10341
	7100	Uttarpara Kotrung	9561	23.10	16802	40.59	15029	36.31	41392	161184	11320
Bankura	7101	Bankura	12104	41.00	9440	31.98	7975	27.02	29519	135910	15230
	7102	Sonamukhi	3647	53.13	2095	30.52	1122	16.35	6864	29069	3516
	7103	Bishnupur	6450	41.79	5434	35.20	3552	23.01	15436	69328	7882
Purulia	7104	Jhalda	1933	54.54	996	28.10	615	17.35	3544	19488	2323
	7105	Raghunathpur	1942	44.08	1061	24.08	1403	31.84	4406	23621	2140
	7106	Purulia	7826	35.72	6971	31.82	7110	32.46	21907	112692	10391
Haora	7107	Bally	8520	18.87	22773	50.44	13857	30.69	45150	191926	11846
	7108	Howrah MC	48172	21.07	116712	51.05	63723	27.87	228607	995236	71468
	7109	Uluberia	14920	30.88	26763	55.39	6638	13.74	48321	228691	27283
Kolkata	7110	Kolkata MC	224698	23.89	434587	46.21	281276	29.91	940561	3846767	256867
North 24 Parganas	7111	Maheshtala	25299	26.18	51659	53.46	19673	20.36	96631	424568	41189
	7112	Budge Budge	6665	38.25	7856	45.08	2904	16.67	17425	75255	9467
	7113	Pujali	3682	46.02	3563	44.53	756	9.45	8001	34736	5128
	7114	Rajpur Sonarpur	32693	32.66	38939	38.90	28459	28.43	100091	394517	40565

TABLE - II

Number of Households to be considered as "Priority Households"
Based on Draft List of SECC-2011

Dist Name	ULB code	ULB Name	Total no. of HH Automatically Included	% of Households Automatically Included with respect to Total Households	Total no. of HH considered for Scoring Index	% of Households considered under Scoring Index with respect to Total Households	Total no. of HH Automatically Excluded	% of Households Automatically Excluded with respect to Total Households	Total no. of Households as per SECC-2011	Total Population as per SECC-2011	Household be considered as "Priority Households"
South West	7115	Barulpur	3273	25.80	4355	34.33	5057	39.87	12685	49793	3724
	7116	Diamond Harbour	4232	44.19	2914	30.43	2431	25.38	9577	39935	4299
	7117	Jainagar Majilpur	1971	39.80	1768	35.70	1213	24.50	4952	21259	2167
Paschim Medinipur	7118	Ramjibanpur	2408	56.75	1461	34.43	374	8.81	4243	19986	2961
	7119	Khirpai	2395	66.01	870	23.98	363	10.01	3628	16615	2411
	7120	Chandrakona	2988	56.64	1641	31.11	646	12.25	5275	23994	3439
	7121	Kharar	1357	52.19	773	29.73	470	18.08	2600	11964	1183
	7122	Ghatal	4135	37.89	3929	36.01	2848	26.10	10912	52463	5014
	7123	Midnapore	12606	35.92	11461	32.66	11027	31.42	35094	158889	13650
	7124	Jhargram	5247	37.03	4741	33.46	4180	29.50	14168	61907	5557
	7125	Kharaghpur	12453	30.79	15843	39.17	12148	30.04	40444	185195	15075
Purba Medinipur	7126	Panskura	5575	48.66	3499	30.54	2383	20.80	11457	57572	6521
	7127	Tamluk	6126	43.91	4481	32.12	3345	23.98	13952	63133	6869
	7128	Haldia	15148	37.69	14452	35.96	10589	26.35	40189	179654	18704
	7129	Egra	3881	60.27	1656	25.72	902	14.01	6439	30318	3159
	7130	Contai	8156	43.01	6732	35.50	4075	21.49	18963	105538	7797
STATE TOTAL			1424033	31.30	1889527	41.53	1236041	27.17	4549601	19460419	1749264

Sub: Notification of eligibility criteria for selection of eligible beneficiaries under National Food Security Act (NFSA)-2013

The State Government has decided to use Socio-Economic and Caste Census-2011 data for identification of "eligible beneficiaries under NFSA 2013. It has been determined by the Central Government that the total number of eligible beneficiaries to be covered under the NFSA -2013 in the state determined at 601 lakh, of which rural population shall be 463.31 lakh [74.47%] and urban 138.53 lakh. [47.55%]. Further, out of the total 601 lakh for the entire State, 19,85,700 families will be come under the purview of Antyodaya Anna Yojana (AAY) which approximately translate into 100 lakh persons and balance shall be treated as "Priority Households. For Urban areas, 2,85,000 families will be come under the purview of Antyodaya Anna Yojana (AAY) which approximately translate into approximately 14 lakh persons in urban areas. The "eligible households" are those as explained in the section 3(1) of NFSA-2013 ie, "eligible households" means households covered under the priority households and the Antyodaya Anna Yojana. Enclosed a copy of NFSA-2013 & relevant Govt. orders placed at [CP1-29]

2. The Draft SECC list for all the Districts has been published and is in the process of the finalisation. Status of SECC-2011 is at [CP-30]. The contemplation of the Central Government regarding implementation of NFSA-2013 is that the State Government shall firstly, notify the criteria for the eligible households and secondly, identify the actual beneficiaries based on such criteria. Notification for constitution of District Level Committee has already been issued by P&RD Department vide its Memo. No. 4785/RD/O/BPL/71-2013/2013 dated 21.08.2013 for monitoring and supervising the claim and objection process to make error-free final list under SECC-2011 [CP 31-32]. The Department of Food & Supplies on receipt of such list shall then send such list of eligible beneficiaries under NFSA-2013 to the District Monitoring Committee as constituted by P&RD Department for both Rural and Urban areas, and the Monitoring Committee will endorse the list of beneficiaries and send it back to the Food & Supplies Department to be used for the purpose of extending benefits under the NFSA Act.

3. To identify such beneficiaries under NFSA-2013, it is stipulated that the eligibility criteria shall be fixed by the State Government on the basis of which individual beneficiaries will be selected. For rural areas, criterion has been fixed for identification of AAY and priority households under NFSA-2013 by using automatic exclusion, automatic inclusion criteria and thereafter ranking the remaining households using deprivation criteria [CP 33-45]. Deprivation criterion for selection of eligible beneficiaries, as already determined in the

case of rural areas, is yet to finalised by the Ministry of HUPA, Government of India. Incidentally it may be mentioned here that a Draft Methodology enunciated in the guidelines for proper identification of urban poor issued under Memo. No. F.No. M 11019/13/2011-PP by the Planning Commission, Govt. of India has been placed [CP 46-48].

4. Discussion with the Principal Secretary, Municipal Affairs Department in presence of the Principal Secretary, Food & Supplies Department, Joint Secretary F&S Department and Deputy Director (SD), SUDA to find out the percentage of population to covered up in urban areas under National Food Security Act (NFSA) was held on 6.7.2014. After the deliberation from all the Departments concerned it has been decided that Draft Data of SECC Survey of the ULBs in Cooch Behar & Dakshin Dinajpur District using draft methodology enunciated in the guidelines for proper identification of urban poor by the Planning Commission, Govt. of India may be taken up as sample to find out the indicator for the total population to be brought under NFSA. Figures under different categories emerged from the draft data of SECC [CP 49-59] after application of Draft Methodology is also placed below for your perusal. After gleaning through the aforesaid figures it may be observed that only two small district like Cooch Behar and Dakshin Dinajpur where the density of urban population is comparatively low, the percentage of population as emerged may not reflect the targeted percentage of the urban population as a whole. In consideration of that, Principal Secretary, Municipal Affairs Department proposed that SECC-2011 database of all the Districts need to be used to get a clear picture for identification of population to covered up in urban areas under National Food Security Act (NFSA). Moreover, in the SECC-2011 Draft database figure of number of Chronically Illness did not depicted in the Draft Database, because these sort of data was not published in the Draft List. As instructed by the Principal Secretary, M.A. Department, a professional software agency ie, M/s Quick Data IT Services has been selected in compliance with latest procurement policy of the Govt. of West Bengal to render the services for categorisation toward identification of prospective beneficiaries out of estimated data on population for approximately 1.95 Crore since received from SECC-2011 draft database. Brief ULB wise, district-wise Report for coverage under AAY & Priority Household count placed at [CP 60-75]. As instructed by the Principal Secretary, MA Department the database of AAY & Priority Households of urban areas has already been sent to Food & Supplies Department on the basis of Draft SECC-2011 data .

5. Brief Report comprising percentage of coverage of total population/households to be covered under Targeted Public Distribution System for urban area as per provision of National Food Security Act (NFSA) using draft methodology is mentioned hereunder:

Analysis of SECC-2011 data (DRAFT) Brief Statement Database used for All the Districts		
		%
Total Families as per SECC-2011	4549601	
Total Population as per SECC-2011	19426796	
Average Household Size	4.27	
No. of Families under Automatic Exclusion	1236041	27.17
No. of Families under Automatic Inclusion	1424033	31.30
No. of Families under Scoring Index	1889527	41.53
No. of Households having Score having No Deprivation, ie, Score Zero	551499	2.84
No. of Households having Score 1 to 12 including fig of Auto Inclusion	2762061	60.71
No. of Households having Score 2 to 12 including fig of Auto Inclusion	2629314	57.79
No. of Households having Score 3 to 12 including fig of Auto Inclusion	2203438	48.43
No. of Households having Score 4 to 12 including fig of Auto Inclusion	1985393	43.64
No. of Households having Score 5 to 12 including fig of Auto Inclusion	1833477	40.30
No. of Households having Score 6 to 12 including fig of Auto Inclusion	1605417	35.29
No. of Households having Score 7 to 12 including fig of Auto Inclusion	1551505	34.10
No. of Households having Score 8 to 12 including fig of Auto Inclusion	1469021	32.29
No. of Households having Score 9 to 12 including fig of Auto Inclusion	1456707	32.02
No. of Households having Score 10 to 12 including fig of Auto Inclusion	1424942	31.32
No. of Households having Score 11 to 12 including fig of Auto Inclusion	1424115	31.30
No. of Households having Score 12 to 12 including fig of Auto Inclusion	1424035	31.30

Residential Vulnerability		
Household is Houseless [RV1]	11999	0.26
HHs has a house of roof and wall made of plastic/polythene [RV2]	6666	0.15
HHs has a only one room with the material of wall being Grass/thatch/bamboo / mud /unburnt-brick/wood and the material of roof being Grass/thatch/bamboo /wood/mud [RV3]	33600	0.74
Occupational Vulnerability		
HHs has no income from any source [OV1]	146493	3.22
Any HH Member who is engaged in a vulnerable occupation like Beggar/ragpicker/domestic worker/sweeper/sanitation worker/Mali [OV2]	105698	2.32
All earning adult members are daily/ir-regular wagers [OV3]	996222	21.90
Social Vulnerability		
Child Headed Household ie. No member aged 18 years and above [SV1]	1387	0.03
All members of the Household aged between 18-60 years either have a disability or are chronically ill [SV2]	7961	0.17
All earning Adult Members are either disabled, chronically ill or aged more than 65 Years [SV3]	114007	2.51

TOTAL NUMBER OF HOUSEHOLD AUTOMATCALLY INCLUDED	1424033	31.30
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For Antyodaya Anna Yojana (AAY) :

By using combination of different indicators pertaining to "Automatic Inclusion" committee recommends for adopting the following criteria of "AAY" for identifying beneficiaries under NFSA for the families having ---

I) **Any One of the Residential Vulnerability** ie . a) Houseless, b) a house of roof and wall made of plastic/polythene , c) only one room with the material of wall being Grass/thatch/bamboo / mud /unburnt-brick/wood and the material of roof being Grass/thatch/bamboo /wood/mud **OR**

II) **Occupational Vulnerability** : Households has no income from any source **OR**

III) Any Two of the Social Vulnerability : a) Child Headed Household ie. No member aged 18 years and above , b) All members of the Household aged between 18-60 years either have a disability or are chronically ill c) All earning Adult Members are either disabled, chronically ill or aged more than 65 Years.

From the above mentioned criteria it transpires that 2,36,129 number of Households are covered under AAY and another 17,49,264 families will be treated as priority households thereby leading to total of 84,77,628 beneficiaries in urban areas. It may be mentioned that the figure given in the table is as per draft publication. The figure may vary marginally after final publication based on claims and objection.

6. Moreover, as desired by the Principal Secretary, M.A. Department draft resolution [CP 76-78] of the Principal Secretary level committee meeting held on 11.7.2014 for exploring criteria for selection of "priority households" in Urban Area under National Food Security Act, has been placed to the Principal Secretary personally on 4th August, 2014 for issuance of notification from the Department.

7. The letter from the Commissioner, Food & Principal Secretary to the Govt. of West Bengal to the Principal Secretary, Municipal Affairs Department seeking concurrence to the enclosed Draft Notification and the data since extracted from the Draft Data of Socio-Economic and Caste Census-2011 as per requirement may please be perused [CP 79-81]. After going through the letter from the Principal Secretary along with the enclosed documents following suggestions are placed below for your consideration before concurrence from the Municipal Affairs Department :-

- Regarding Automatic Inclusion for selection of beneficiaries under AAY the vulnerability criteria may be notified in the manner as stated below for the sake of more transparency and understandability –

Any One of the Residential Vulnerability:

- a) Household is Houseless
- b) A house of roof and wall made of plastic/polythene
- c) only one room with the material of wall being Grass/thatch/bamboo / mud /unburnt-brick/wood and the material of roof being Grass/thatch/bamboo /wood/mud OR

Occupational Vulnerability : Households has no income from any source OR

Any Two of the Social Vulnerability :

- a) Child Headed Household ie. No member aged 18 years and above
- b) All members of the Household aged between 18-60 years either have a disability

c) All earning adult members are either disabled, chronically ill or aged more than 65 years

> Apart from the Households to be covered under AAY, out of the remaining households the priority factor should be considered for the purpose of coverage under NFSA - The degree of deprivation may be within the range of Score 4-12 in addition to the number of households considered for automatic inclusion.

8. If approved, draft notification as well as Draft Annexure for the purpose of selection of NFSA beneficiaries is placed at Flag Marked "A" for concurrence.

Submitted for your kind perusal.

Director

[Signature]
1/9/14
Sandip Gupta

Computer Programmer

Note from MSP-3 may kindly be perused -
With reference to the meeting held on 11.7.2014 in presence of Principal Secretary, HA Dept and Principal Secretary, Food & Supplies Dept with concerned officers, Commission, Food & Principal Secretary FES Dept has sent a draft notification seeking concurrence of the Deptt (CP-81). The draft notification has been examined from this end and with some minor modification has been placed below under Flag-B which may kindly be approved and sent to the Commission, Food & FES Dept, if approved.

Principal Secretary
M.A. Deptt

U.O. No. SUDA. 875/14

Dated 01.04.14

File No. 71/2014

[Signature]

[Signature]
1/9/2014

[Signature]
1/9/14

[Signature]
1/9/14

U.O. No. SUDA. 875/14
Dated 01.04.14

Principal Secretary
M.A. Deptt

Approval share.
Draft may kindly be signed.

[Signature]
1/9/14
[Signature]
1/9/14

[Signature]

সূডা

NOTE SHEET

(8)

SUDA

Approved of Attentionable MIC, MA & UD Reports. regarding selection of NFSA beneficiaries using criterions under National Food Security Act - 2013, may kindly be seen [NSP-7 bottom].

Resolution relating to Guidelines and criteria which may be applied for selection of such beneficiaries. is placed in the file as they marked 'A'.

Now, the Draft database has been prepared for both AAY & Priority Households on the basis of draft SECC-2011 data. The figures arrived as per draft publication of SECC-2011 data. The figure may vary marginally after final publication based on claims and objections.

So, if approved, we may send the database of targeted households in soft copy to Food & Supplies Deptt, on the basis of draft SECC-2011 data.

Draft letter in this regard placed in the file, for your signature please.

Drafted
el

16/9/14

WJ
16/9/14

1166
JS (SR)
Director
500A
10/6/12

F.No.M-11019/13/2011-PP
Planning Commission
Government of India
(Perspective Planning Division)

Yojana Bhawan, Sansad Marg
New Delhi, 25th May 2012

Meeting Notice

Subject: Consultation with the States / Union Territories to discuss the Methodology for Identification of Families Living Below Poverty Line (BFL) in Urban Areas.

An Expert Group was constituted by the Planning Commission under the Chairmanship of Prof. S. R. Hashim, Ex-Member, Planning Commission, to recommend a detailed methodology for Identification of Families Living Below Poverty Line (BFL) in the Urban Areas. After detailed deliberations, the Expert Group have prepared a draft methodology to identify the BPL families in the Urban Areas. Now it has been decided to share this draft methodology with the States/UTs in order to get the inputs based on their experience.

Accordingly, the Expert Group headed by Prof. S.R. Hashim would meet the representatives of States/UTs on 7th June, 2012. at 2:30 P.M. in Room No. 103, 'A' Wing, CPWD Conference Hall, 1st Floor, Nirman Bhawan, Maulana Azad Road, New Delhi-110011 (Entry from Gate No.3) to discuss this draft methodology. A copy of the draft methodology proposed to be discussed is enclosed for your reference.

You are requested to kindly make it convenient to attend the meeting. Keeping in view the importance of this issue, it would be appreciated if this meeting is attended by you in person.


(B.D. Virdi)

Adviser (PP)

Phone : 23096763

E-mail : bdvirdi@nic.in

Encl: As above

To:

1. Principal Secretary/Secretary of State /UT Governments (As per List Attached)
2. All Members of the Expert Group (As per list Attached)

Copy for Information to:

1. PS to DCH
2. PS to Member (SC)
3. PS to Secretary
4. PS to AS&FA
5. All Officers of PPD

PLW (B)
500A
JS (SR) 68

(10) 7

Draft Methodology for Proper Identification of Urban Poor: Inclusion through the identification of Social, occupational and residential vulnerabilities

The methodology recommended by the Expert Group uses a 3-stage identification process for identifying the households living below Poverty Line in the Urban Areas viz., (i) automatic exclusion; (ii) automatic inclusion; and (iii) a scoring index on the remaining households.

The three phase identification process is described in detail below:

I. Automatic Exclusion: For identification of poor households in urban areas, at the first instance one need to begin with automatic exclusion of households based on indicators listed below.

1. If the number of dwelling rooms exclusively in possession of the household is 3 and above (Dwelling room with wall of concrete or burnt bricks or stone packed with mortar; roof of concrete or burnt bricks or machine made tiles)
2. Households possessing any one of the following:
 - i. 4 wheeler motorised vehicle.
 - ii. A.C.
 - iii. Computer or laptop with internet
3. Households possessing any 3 of the following:
 - i. Refrigerator (non-commercial)
 - ii. Telephone (land-line)
 - iii. Washing machine (non- commercial)
 - iv. 2 wheeler motorised vehicle

II. Automatic Inclusion: In the second stage, the defined criteria for automatic inclusion of all households will apply. The households facing any of the vulnerabilities listed as under will be included in the BPL list automatically. Furthermore, no other computation and scoring is required for households which fulfill any of these criterion since these are indicators of ultra-poverty and utmost vulnerability. Additionally, there will be no caps, and categories of households which are automatically included will have a right to be included at any stage.

The following automatic inclusion criteria would be applied in the second stage:

a) Residential Vulnerability

- i. If the household is 'houseless'¹.
- ii. If the household has a house of roof and wall made of plastic/polythene.
- iii. If the household has a house of only one room with the material of wall being grass, thatch, bamboo, mud, un-burnt brick or wood and the material of roof being grass, thatch, bamboo, wood or mud.

b) Occupational vulnerability

- i. If the household has no income from any source, then that household will be automatically included.
- ii. Any household member (including children) who is engaged in a vulnerable occupation like beggar/rag picker, domestic worker (who are actually paid wages) and sweeper/sanitation worker /mali) should be automatically included.
- iii. If all earning adult members in a household are daily wagers or ir-regular wagers, then that household should be automatically included.

c) Social Vulnerability

- i. Child-headed household i.e. If there is no member of the household aged 18 years and above.
- ii. If there is no able-bodied person aged between 18 and 60 years in the household, i.e. all members of the household aged between 18 and 60 years either have a disability² or are chronically ill³.
- iii. If all earning adult members in a household are either disabled, chronically ill or aged more than 65 years then that household should be automatically included.

¹ Households who do not live in buildings or census houses (structure with roof) but live in the open on roadside, pavements, in hume pipes, under fly-overs and staircases, or in the open in places of worship, mandaps, railway platforms, etc. are treated as Houseless households (The Census of India, 2001).

² SECC defines disability as disability in terms of seeing, hearing, speech, movement, mental retardation, mental illness, other disability, multiple disability.

³ According to SECC chronic illness include cancer, TB, leprocy, other illness.

III. Scoring Index: Once the automatic exclusion and inclusion criteria had been applied, in the third phase the remaining households are ranked on the basis of an index score. It was decided to settle the overall cap to 12 points (maximum of 5 points for residential vulnerability, maximum of 5 points for social vulnerability and maximum of 2 points for occupational vulnerability). The following parameters were finalized.

Residential Vulnerability

S. No.	Indicator	Score
A	Households living in houses with roof of Grass/thatch/bamboo/wood/mud etc. and wall of grass/thatch/bamboo etc.	2
B	Households living in houses with roof of handmade tiles or G.I/metal/asbestos sheets and wall of mud/unburnt brick or wood or stone not packed with mortar or G.I/metal/asbestos sheets	1
C	Household with non-availability of drinking water source within or near the premises	1
D	Households with main source of lighting other than electricity	1
E	Households with no exclusive water-seal latrines	1

Maximum Score- 5

Social Vulnerability

S. No.	Indicator	Score
A	Female-headed households i.e. households where there is no adult male member or where the principal bread-earner in the family is a woman	2
B	Scheduled Caste (SC) households	2
C	Scheduled Tribe (ST) Households	2
D	No Literate Adult	2
E	No adult in the household educated up to primary level	1
F	For every Disabled/Chronically ill person in the household	1

Maximum Score- 5

Occupational Vulnerability

S. No.	Indicator	Score
A	Any of the following occupations of the head of the household: <ul style="list-style-type: none"> • Street vendor/cobbler/hawker • Construction/plumber/mason/labour/painter/welder/security guard • Home-based/artisans/Tailor • Transport worker/driver/conductor/helper to drivers and conductors/ cart puller/ rickshaw • Washermen/ Dhobi/ Chowkidar • Coolie/Head-loader 	2
B	Any of the following occupations of the head of the household: <ul style="list-style-type: none"> • Shop-worker / Assistant / Helper / Peon in small establishment / Attendant / Waiter • Electrician / mechanic / assembler / repair worker 	1
C	Households where the main source of income of the head of the household is through a weekly /daily wage earning.	2
D	Households where there is no enterprise / wage earning i.e. non-work and the earning is chiefly through any one of the following means i.e. (a) Pension; (b) Interest; and/or (c) Rent	1

Maximum Score- 2

Guidelines for Employment through Skills

Training & Placement

'... Improved training and skill development is critical for providing decent employment opportunities to the growing youth population and necessary to sustain high growth momentum....'

– 12th Five Year Plan Approach Paper – Point 10.14

1 Introduction and Objectives

The National Skill Development Policy issued in March 2009 states that the demand for skilled manpower by 2022 is 50 Million. Due to increasing urbanization, 50 Million non-farm employment opportunities will be created during the 12th Five Year Plan period and same number of people would be provided certified skill training.

The Employment through Skill Training & Placement (EST&P) Component under NULM is designed to provide skills to the unskilled urban poor as well as to upgrade their existing skills. The program will provide for skill training of the urban poor to enable them setting up self-employment ventures and for salaried jobs in the private sector. The EST&P Program intends to fill the gap between the demand and availability of local skills by providing skill training programs as required by the market.

Objectives

The broader objective of the Employment through Skills Training & Placement (EST&P) Program is –

- To provide an asset to the urban poor in the form of skills for sustainable livelihood
- To increase the income of urban poor through structured, market-oriented certified courses that can provide salaried employment and / or self-employment opportunities which will eventually lead to better living standards and alleviation of urban poverty on a sustainable basis
- Ensure inclusive growth with increased contribution of skilled urban poor to the National Economy.

2 Skill Training Modules

2.1 Skill Gap Analysis

In order to achieve the above objectives, the trainings should be provided as per the industry demand and as per the curriculum recognized nationally. The industry demand for skill can be assessed only through a comprehensive Skill Gap Analysis at city level. The Skill Gap Analysis (SGA) should provide a clear picture of the industry wise demand for trained manpower, nature of skills required, trades to be selected for EST&P both for wage employment as well as for self-employment. The SGA should also state the nature and duration of the courses required for each trade. Such a study must have projections for a period of 5 years. The Skill Gap Analysis conducted by National Skill Development Corporation (NSDC) may be referred by the State Urban Livelihoods Mission (SULM). The SULM may take help of Sector Skill Councils of NSDC, Technical Universities, State Department of Labour and Employment, State Industries Department, Government sponsored Research Institutions, State Industries Association or any other competent agency to conduct the Skill Gap Analysis. The cost of conducting Skill Gap Analysis may be booked under the A&O Expenses of NULM.

The Skill Gap Analysis should provide demand for employment in upcoming industries and also identify the scope for setting up of self-enterprises in local area. The trainings should be conducted for imparting skills with highest demand in local areas, however for candidates willing to migrate to other regions skill training courses not identified under skill gap analysis may also be conducted.

2.2 Curriculum Designing

The skill trades identified through the skill gap analysis should have a formal standard curriculum which is designed in accordance to the demand of the industry, need for assessment and certification requirements.

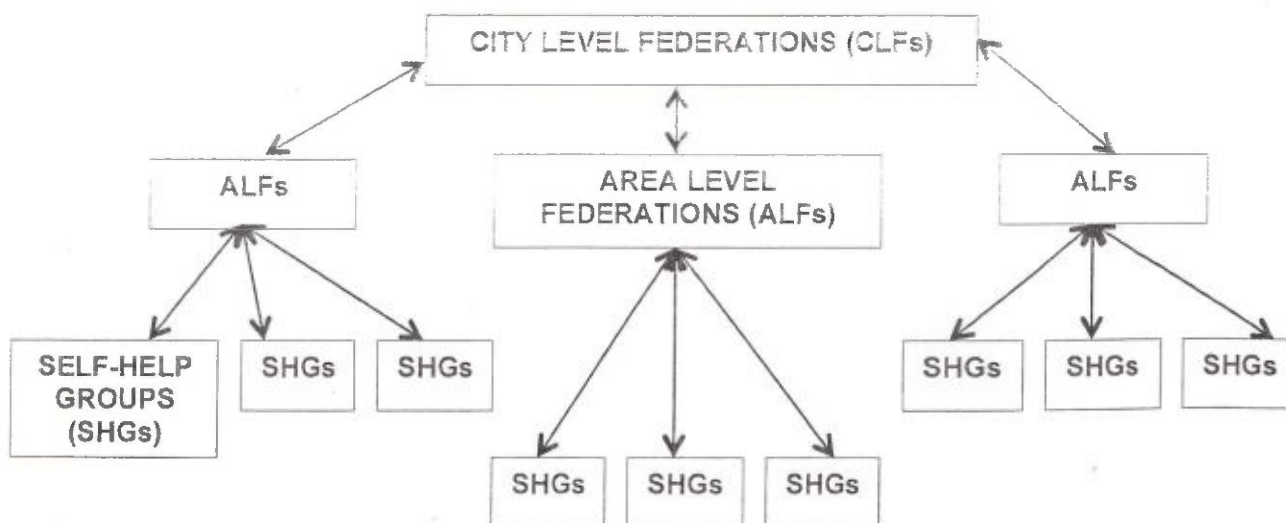
The National Occupational Standards (NOS) have been developed by various Sector Skill Councils under NSDC. The NOS specify the standard of performance an individual must achieve for carrying out a function in the work place. The NOS are laid down by the employers through the Sector Skill Councils under NDSC. The NOS and identified Job Roles are in accordance to the standards prescribed under the National Vocational Education Qualifications Framework (NVEQF) as

These guidelines are operational guidelines for Component 1 "Social Mobilisation and Institution Development" of the National Urban Livelihoods Mission (NULM) only. They are meant to be read along with the Mission Document / Main Guidelines of NULM, and may make reference to operational guidelines for other components of NULM

1. The National Urban Livelihoods Mission (NULM) shall rest on the foundation that the mobilisation of urban poor households to form their own institutions is an important investment for an effective and sustainable poverty reduction programme. These institutions of the poor would partner with local self-governments, public service providers, banks, private sector and other mainstream institutions to facilitate delivery of social and economic services to the poor.

Component 1.1 Building Community Institutions – Self Help Groups and Their Federations

2. NULM envisages mobilisation of urban poor households into a three tiered structure with Self-Help Groups (SHGs) at the grass-root level, Area Level Federations (ALFs) at the slum / ward level and City-level Federations (CLFs) at the city-level:



Self-Help Groups (SHGs)

3. SHGs are groups of 10 to 20 women or men who come together to improve their living conditions by group savings and loans. These groups conduct regular meetings where the savings of the group is collected into a corpus fund, which is used to provide short-term loans to the members. After some time when the credit requirements of the members increase, the Self Help Group may approach to a bank for loan.

4. **Membership of SHGs:** Under NULM, Self Help Groups of urban poor will be formed. Non-poor may be included as members in SHGs where strong affinity or special reasons exist. However, at least 70% of the SHG members should be urban poor to qualify for funding support under NULM. SHGs may consist of 10 to 20 members. These groups need

not to be registered. Normally, women SHGs will be formed, however male SHGs of handicapped persons will be allowed to be formed. The objective is to organise all the urban poor families into SHGs, however, those areas may be taken up first where urban poor are concentrated.

5. **Rules and Regulations:** Each SHG will be encouraged to develop their own rules and regulations. These should cover:

- 5.1. Norms for membership
- 5.2. Norms for group savings including the amount, date of deposit with the group
- 5.3. Role of every office bearer in the SHG
- 5.4. Norms for conduct of Group meetings including the number of times the group meets, and the convening of special meetings
- 5.5. Management of savings of group including maximum amount of loans to SHG members, the interest rate, repayment details, and basic criteria to grant a loan
- 5.6. Management of the SHG group bank account
- 5.7. Maintenance of various records including minutes of meetings, member passbooks, savings and loan register and group pass book.
- 5.8. Withdrawal of membership, disqualification of membership, change in the rules and regulations and dissolution of the SHG
- 5.9. Norms for imposition of fines/penalties on group members and amount of penalty for: [a] Not attending the meetings, [b] Irregular savings, and [c] Non-repayment of loan on time, etc.;

Box 1: Key operational Principles of SHGs:

- Agreed conditions for meetings;
- Agreed conditions for savings;
- Agreed conditions for loans to be given
- Agreed conditions for loan repayments
- Agreed social agenda

6. To facilitate the process of development of Rules and Regulations of the SHG's, Model Rules and Regulations are given at **Annexure I**. However, these are for guidance only. The SHG should be encouraged to make their own Rules and Regulations covering points mentioned in paragraph 5.

Box 2: Panchsutra for a good SHG:

1. Regular savings
2. Regular meetings
3. Regular accounts – book-keeping and accounting
4. Regular repayments
5. Adherence to rules and regulations of the SHG

Federations of SHGs at the Area and City-levels

7. An Area Level Federation (ALF) is an association of SHGs consisting of representatives from all member SHGs, with the objective of supporting member-SHG's, guide and monitor the functioning of SHGs and form and train new SHGs. The Federation of

Point Box
SEP Guide Line

6

Operational Guidelines-NULM: Self-Employment Programme (SEP)

3. **Educational Qualifications and Training Requirement:** No minimum educational qualification is required for prospective beneficiaries under this component. However where the identified activity for micro-enterprise development requires some special skills appropriate training must be provided to the beneficiaries before extending financial support by linking them to **sub component 3.1 on Skills Training for Micro-Enterprise Development/Self-Employment** under Component 3: Employment through Skills Training and Placement (EST&P). Financial assistance should be extended only after the prospective beneficiary acquired required skills for running the proposed micro-enterprise.

3.1. Such training may not be necessary if the beneficiary has already undergone training from a known institution, registered NGO/Voluntary Organisation or trained under any government scheme provided requisite certificate is produced. In case the beneficiary has acquired requisite skills from family occupation such cases should be certified by the ULB before extending financial assistance.

3.2. **Entrepreneurship Development Programme (EDP):** In addition to skill training of the beneficiaries, the ULB will also arrange to conduct Entrepreneurship Development Programme for 3-7 days for individual and group entrepreneurs. The EDP will cover basics of entrepreneurship development such as management of an enterprise, basic accounting, financial management, marketing, backward and forward linkages, legal procedures, costing and revenue etc. In addition to above topics the module should also include group dynamics, allocation of work, profit sharing mechanism etc. for group enterprises.

3.3. The EDP module may be developed and finalised by State Urban Livelihoods Mission (SULM) supported by State Mission Management Unit (SMMU) with assistance of an empaneled institution/agency or consulting firm and same may be utilised for conducting training programme by the ULB. This EDP training may be arranged through institutions such as Rural Self Employment Training Institutes (RSETI), reputed institutions engaged in entrepreneurship development/ training, management/ educational institutes, reputed NGOs engaged in entrepreneurship development/ training etc.

3.4. Any cost incurred on training of beneficiaries under this component is to be met out of ES&TP component budget.

4. **Pattern of Financial Assistance:** The financial assistance available to urban poor in setting up individual and group enterprises will be in the form of Interest subsidy on the bank loans. Interest subsidy, over and above 7% rate of interest will be available on a bank loan for setting up of individual or group enterprises. The difference

Operational Guidelines-NULM: Self-Employment Programme (SEP)

These are operational guidelines for Component 4: "Self Employment Programme (SEP)" of the National Urban Livelihoods Mission (NULM) only. To be read along with the Mission Document / Main Guidelines of NULM, and may make reference to operational guidelines of other components of NULM.

COMPONENT 4: SELF-EMPLOYMENT PROGRAMME (SEP)

1. Introduction:

- 1.1. This component will focus on financial assistance to individuals/groups of urban poor for setting up gainful self-employment ventures/ micro-enterprises, suited to their skills, training, aptitude and local conditions. The component will also support Self Help Groups (SHGs) of urban poor to access easy credit from bank and avail interest subsidy on SHG loans. The component will further focus on technology, marketing and other support services to the individuals, group entrepreneurs, SHG members and Urban street vendors/ hawkers engaged in micro enterprises for their livelihoods. The component will also facilitate credit cards for working capital requirement of the entrepreneurs.
 - 1.2. The under-employed and unemployed urban poor will be encouraged to set up small enterprises relating to manufacturing, servicing and petty business for which there is considerable local demand. Local skills and local crafts should be particularly encouraged. Each City/Town should develop a compendium of such activities/projects keeping in view skills available, marketability of products, costs, economic viability etc.
 - 1.3. The percentage of women beneficiaries under SEP shall not be less than 30 percent. SCs and STs must be benefited at least to the extent of the proportion of their strength in the city/town population of poor. A special provision of 3 percent reservation should be made for the differently-abled under this programme. In view of the Prime Minister's 15-Point Programme for the Welfare of Minorities, at least 15 percent of the physical and financial targets under this component shall be earmarked for the minority communities.
- 2. Selection of Beneficiary:** The Community Organisers (COs) and professionals from Urban Local Body (ULB) will identify the prospective beneficiaries from among the urban poor. The community structures formed under Social Mobilisation & Institutional Development (SM&ID) component of NULM viz: Self Help Groups (SHGs)/ Area Level Federations (ALFs) may also refer prospective individual and group entrepreneurs for purpose of financial assistance under SEP to ULB. The beneficiaries may directly approach ULB or its representatives for assistance. Banks may also identify prospective beneficiaries at their end and send such cases directly to ULB.



HOWRAH MUNICIPAL CORPORATION

Central Office : 4, Mahatma Gandhi Road, Howrah-711-101

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Grievance redressal : +91-33-26370209

2

Memo No MYR - 55/14-15

Dated. 11/03/2015

From :: The Mayor
Howrah Municipal Corporation



To :: The Director
State Urban Development Agency
ILGUS Bhavan, HC Block, Sector-III
Bidhannagar, Kolkata-700106

Decd
2
5/3/15

Sub :: Consideration of People belonging to Economically Weaker Section (EWS) as Urban Poor for implementing NULM-regarding

May I draw your kind attention to the fact that we, at Howrah Municipal Corporation, are finding it difficult to implement NULM.

I understand that NULM consists of the following activities-

1. Social Mobilization and Institution Development
2. Capacity Building & Training
3. Self Employment Programme
4. Scheme of Shelters for Urban Homeless
5. Support to Urban Street Vendors

Of these, we have been told that item in Sl-4 & 5 will be taken up at a later stage. As regards Sl.1,2 & 3 above, all the activities concerns Urban Poor and shall have to be implemented for their betterment.

Now, it appears that the term 'Urban Poor' is co-terminus with 'People in BPL category'. In Mission Document of NULM, it is categorically stated that 'the primary target of NULM is the urban poor, including the urban homeless. The Socio-economic and Caste Census (SECC), 2011 for identification of urban poor is currently under progress. Accordingly, as an interim measure, the target of NULM will be the urban population identified presently as below poverty line population in urban areas by the States/UTs'.

Now, we feel that the BPL list, currently in vogue at Howrah Municipal Corporation, is full of errors and cannot be adhered to, in selection of beneficiaries.

In view of the above, may us be allowed to consider any person, belonging to EWS (ref order no. 498(21)-HL/M-2/2007(pt) dt.06/09/2010 of Jt Secretary, Department of Housing WB), as Urban Poor.



HOWRAH MUNICIPAL CORPORATION

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(1)

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Yours faithfully

Mayor
Howrah Municipal Corporation