



Sub: Request for Proposal (RFP) from the Empanelled Transaction Advisors for Assisting the State Government for Planning of Scientific Solid Waste Management through Cluster Approach and Bid Process Management for selection of Developers & Operators

It may kindly be recall that already RFP has been floated on the abovementioned subject for 4 Clusters covering 21 ULBs and accordingly Transaction Advisors have been appointed.

Now, it is being proposed that further RFP for 8 Clusters covering 30 ULBs (placed in table below) may be floated in two separate RFPs:

Cluster No Proposed Cluster 1 Krishnanagar, Nabadwip, Taherpur		
		2
3	Bhatpara, Naihati	
4	Kalyani, Gayeshpur, Kanchrapara, Garulia, Halisahar, Haringhata	
5	5 Coochbehar, Alipurduar, Tufanganj, Dinhata	
6 Panihati, Titagarh, Khardah, Barrackpore, North Barrackpore		
7	7 Chandernagore MC, Bansberia, Hooghly Chinsura	
8 Maheshtala, Budge Budge, Pujali		

This proposal of clusters comprising of ULBs have been initiated on field verification by Assistant Engineers.

Placed for kind approval.

If approved two draft RFPs (prepared in similar line with earlier Bid Document) is also placed for kind approval.

Submitted.

Deputy Director, SUDA

Additional State Mission Director, SBM(U)

Note above -

In the first phase, RFPs have been floated for 4 Clusters covering 21 ULBS and draft agreement with the lowest Liddens have been sent to Law Cell

fon legal vettig. NOW, in 2nd phase, Draft RFPs Lave been prepared for another & clusters covering 30 mone ULBS. Mugal-All these have been physically visited by technical team of SHM. The RFP's have been composed in consonance with earlier bid document.

Placed for kind approval.

It approved, RFPs for those whove 8 clusters may be floated.

Dinecton, SUDA Pro Secre Com

rector, SUDA





May kindly recall NSP-1.

As per direction, out of the 8 clusters, RFP was floated for following 4 clusters on 28th February 2019.

Cluster No	Proposed Cluster	
1 Coochbehar, Alipurduar, Tufanganj, Dinhata		
2	2 Panihati, Titagarh, Khardah, Barrackpore, North Barrackpore	
3 Chandernagore MC, Bansberia, Hooghly Chinsura		
4 Maheshtala, Budge Budge, Pujali		

After that, per bid meeting was held on 05.03.2019, where the representative of Ernst & Young and PWC were present. As per discussion, field visit was conducted on 14th, 15th and 16th March 2019 for the Transaction Advisors, where Assistant Engineers of SUDA accompanied them.

As per their request, the date of submission of Bid Proposal was extended from 18th March 2019 to 25th March 2019.

Now, It is observed that only two bidders i.e. CRISIL and PWC have submitted their bid proposal.

As the no. of Bid submission is less than three (3), so as per financial norms we may go for retendering the RFP and if approved then the DD of earnest money may be returned to CRISIL and PWC.

(Amitava Das)

Deputy Director, SUDA

Additional State Mission Director, SBM(U)

As we of biddens in the nepenned case is less than 3, this bid can not be matured. But before this bid can not be matured. But before proceeding for me tenden etc, we may seek indication of authority neganding next course of instruction of authority neganding next course of

action.

28.07.19

Necessary instruction for floating of RFP (2nd call) may kindly be accorded.

Pr. Secretary

U.O. No. SUDA 208

Dated 01/04/2019

Sin No 227/2018

rector SUDA

wait outcome

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SUDA

Observation of Pr. Seerelary M- prepage bottom.

Further, as discursed in the meeting dtd 2.4.19 laken by Pr. Seerelary with TAs, we need to go through the observation given by Exy on these clusters and revises the RFP document for modification, if any, before floating it again.

Dag spelis

AD(gm)

Pl. see Lote.

5.4.19.

DD (AD)





Sub: Proposal for seeking permission from Finance Department for allocating additional clusters to already engaged Transaction Advisors for assisting the State Government for planning of Scientific Solid Waste Management through Cluster approach and bid process management for selection of developers and operators.

State Urban Development Agency (SUDA) under UD&MA Department, Government of West Bengal floated vide Ref No. SUDA-227/2018/1617 dated 31.12.2018 limited tender to the four organizations- i.e. i) Ernst & Young, ii) KPMG, iii) CRISIL & iv) PwC from Panel-B (Urban / Municipal Infrastructure) of Transaction Advisors of Finance Department, GoWB (as per notification No. 3738-F(Y) dated 11.06.2018) for assisting the State Government for planning of Scientific Solid Waste Management through Cluster approach and bid process management for selection of developers and operators for submitting Financial Bid for four clusters. The details of the four clusters and the name of subsequent awarded L-1 bidders are placed below. Accordingly the Transaction Advisors Ernst & Young and PwC have started their work since 09.04.2019 and 04.04.2019 respectively.

Cluster No.	ULBs covered under Cluster	Transaction Advisor appointed	Awarded Price (in Rs.)
1	Dum Dum, North Dum Dum, South Dum Dum, Baranagar, Kamarhati and New Barrackpore	Ernst & Young	28,93,684/-
2	Newtown, NDITA, Bidhannagar MC and wards of Kolkata MC adjoining to Bidhannagar MC	PwC	28,93,684/
3	Ashoknagar-Kalyangarh and Habra	Ernst & Young	28,93,684/
4	Baidyabati, Uttarpara-Kotrung, Rishra, Serampore, Champdany, Konnagar, Dankuni and Bhadreswar	Ernst & Young	28,93,684/

It is to mentioned here that the performance of the above mentioned Transaction Advisor with regards to their assignment is fairly satisfactory so far.

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After that SUDA vide memo No. SUDA-227/2018(Pt.I)/2020 dated 28.02.2019 floated limited tender to the above mentioned four empanelled Transaction Advisors of Finance Department, GoWB for submission of Financial bid for another four clusters. The tender could not be matured.

Now in order to comply the direction of Hon'ble Green Tribunal, after appearance of the Chief Secretary to Government of West Bengal on 02.04.2019, regarding the compliance of several clauses of Solid Waste Management Rules, 2016 with specific time line, it is felt that for the above mentioned guidance Transaction Advisors need to be engaged in another couple of cluster immediately.

Hence, it is being proposed that the existing Transaction Advisors- Ernst & Young and PwC may be offered to conduct the similar assignment consistent with the rate as awarded in the initial phase as noted above.

Tentative list of additional clusters is placed below as ready reference:

Cluster No	ULBs in Proposed Cluster	
1	Panihati, Tigarh, Khardah, Barrackpore and North Barrackpore	
2	Kalyani, Gayeshpur, Kanchrapara, Garulia, Halisahar and Haringhata	
3	Krishnanagar, Nabadwip and Taherpur	
4	Santipur, Ranaghat, Birnagar and Coopers Camp	
5	Arambagh and Tarakeswar	







Cluster No	ULBs in Proposed Cluster	
6	Asansol MC and Durgapur MC	
7	Baruipur and Rajpur-Sonarpur	
8	Bardhaman, Gushkara and Memari	
9	Kharagpur, Ghatal and Medinipur	
10	Bankura, Bishnupur and Sonamukhi	
11	Purulia, Jhalda and Raghunathpur	
12	Coochbehar, Alipurduar, Tufanganj and Dinhata	
13	Siliguri MC, Jalpaiguri, Hadibari and Dhupguri	
14	Bhatpara and Naihati	
15	Chandannagar MC, Bansberia and Hooghly Chinsurah	
16	Mahestala, Budge Budge and Pujali	
17	Murshidabad, Berhampore, Domkal, Dhulian and Beldanga	
18	Tamralipta and Contai	
19	Suri, Bolpur, Ranmpurhat and Dubrajpur	

Placed for kind information and direction.

Deputy Director

Additional Mission Director

Proposal for engaging TAS for 18 Imone ULBS, in addition to existing 18 ULBS where TAS have been functionly along already my kindly be approved. If approved, we my discuss with TAS subsequestly.

Considering X prepage FD may be moved for according approval to - the proposal above.

Pr. Seerelary UD & MA JOH

U.O. No. SUDA 26





Instruction prepage.

Draft note sent on e-mail dt 3.6.19

Draft note sent on e-mail dt 3.6.19

also placed at flag x for booking perusal also placed at flag x for booking.

And necessary modification.

Pr. Secretary

State Urban Development Agency (SUDA) under UD&MA Department, Government of West Bengal floated limited tender vide SUDA-227/2018/1617 dated 31.12.2018 inviting proposals from Transaction Advisors duly empaneled with Finance Department i.e. i) Ernst & Young, ii) KPMG, iii) CRISIL & iv) PwC (ref notification No. 3738-F(Y) dated 11.06.2018) for assisting the State Government in UD&MA Department in planning scientific solid waste management in cities/towns through cluster approach and bid process management for selection of developers and operators. Initially TAs were engaged for providing advisory services to twenty ULBs combined in four clusters, the details of which are as follows:

Cluster No.	ULBs covered under Cluster	Transaction Advisor appointed	Awarded Price (in Rs.)
1	Dum Dum, North Dum Dum, South Dum Dum, Baranagar, Kamarhati and New Barrackpore	Ernst & Young	28,93,684/-
2	Newtown, NDITA, Bidhannagar MC and wards of Kolkata MC adjoining to Bidhannagar MC	PwC	28,93,684/
3	Ashoknagar-Kalyangarh and Habra	Ernst & Young	28,93,684/
4	Baidyabati, Uttarpara- Kotrung, Rishra, Serampore, Champdany, Konnagar, Dankuni and Bhadreswar	Ernst & Young	28,93,684/

The TAs have started working at ULB level as per work schedule and their performance is quite satisfactory as of today.

By this time consequent upon consecutive orders of the National Green Tribunal under O.A.no 606/2018 it has become imperative to speed up the work related to planning and execution of scientific solid waste management in 125 cities/towns within the stipulated time. As per order of NGT dt 2.4.2019 (O.A. No 606/2018) the State would have to confirm scientific solid waste management in eight model cities/towns already notified within six months from the date of issue of the order. Simultaneously following NGT order under O.A. No 673/2017 and O.A. no 727/2018 thirteen cities/towns situated across seventeen polluted river stretches in the state would have to adopt specific plan for scientific disposal of solid waste and ensure execution of projects in time. Similar compliance is required for fortytwo Ganga towns in the State.





Now it becomes a challenge for UD&MA department to guide and facilitate all these ULBs to comply with the order of NGT in time as it involves city-specific detailed plan with feasibility study, appropriate land identification, IEC module designing and technology selection before going for actual execution of the project The UD&MA Department does not have in house expertise in preparation of such involved plans and selection of competent agencies.

Considering these aspects SUDA floated another tender in February 2019 for selection of Transaction Advisors for another four clusters, but that tender could not mature due to inadequate participation of bidders.

After the end of Parliament election process, now SUDA may invite retender for this purpose. However, issue of fresh tender, with uncertainty of success would further delay the project making it impossible to adhere to the timelines ordered by the NGT. Moreover, since the price has already been discovered and it would be a limited tender, there is a fair chance that the bids would be more expensive.

We therefore propose to the FD to allow us to appoint the already selected TAs from FD's approved list at same terms and conditions to save both time and cost. We propose to appoint the TAs for clusters from the list furnished below on priority basis taking model towns/cities, Ganga town, towns adjoining seventeen polluted river stretches into consideration at a negotiated rate not exceeding the L1 rate as ascertained from the first tender without going for tender again.

Classian	ULBs in Proposed Cluster		
Cluster No			
1	Panihati, Tigarh, Khardah, Barrackpore and North Barrackpore		
12	Kalyani, Gayeshpur, Kanchrapara, Garulia, Halisahar and Haringhata		
*3	Krishnanagar, Nabadwip and Taherpur		
• 4	Santipur, Ranaghat, Birnagar and Coopers Camp		
5	Arambagh and Tarakeswar		
16	Asansol MC and Durgapur MC		
7	Baruipur and Rajpur-Sonarpur		
8	Bardhaman, Gushkara and Memari		
9	Kharagpur, Ghatal and Medinipur		
10	Bankura, Bishnupur and Sonamukhi		
11	Purulia, Jhalda and Raghunathpur		
+12	Siliguri MC, Jalpaiguri, Hadibari and Dhupguri		
13	Coochbehar, Alipurduar, Tufanganj and Dinhata		
14	Chandannagar MC, Bansberia and Hooghly Chinsurah		
15	Mahestala, Budge Budge and Pujali		
16	Murshidabad, Berhampore, Domkal, Dhulian and Beldanga		
17	Tamralipta and Contai		
18	Suri, Bolpur, Ranmpurhat and Dubrajpur		

If approved, we would proceed expeditiously.

ALPSI DONOTOR

Addl. Chief Secretary Finance Department

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Workflow Based File Tracking System Government of West Bengal Finance - Group R

File No: SUDA-227/2018/(Pt-I)

Subject: Engagement of Transaction Advisor for SWM Project

Department: Urban Development

We may have no objection for the proposal for engaging TAs for 63 ULBs in addition to existing 18 ULBs on relaxation of normal rules, subject to the concurrence of Group – T.

Sd/-B Gangopadhyay (Secy, Fin) 02.07.2019

Sd/-HK Dwivedi (ACS) 02.07.2019

In relaxation of relevant provisions of WBFR as a special case, we may concur with the proposal of the Admin Deptt. for engagement of the already selected TAs from the approved panel of FD on the same terms and conditions at a negotiated rate not exceeding the L1 rate from the first tender subject to the condition that the Admin Deptt. is satisfied about reasonableness of the rate of engagement considering the number of ULBs in each cluster.

Sd/- ACS 03.07.2019

UO No: Group T/2019-2020/0277

UO Date: 04/07/2019

UO NO: Group R/2019-2020/0035

UO Date: 04/07/2019

Sd/-

SO, FD

04/07/2019

To

Urban Development Department

SO, FD

Idehowdhur

04/07/19

4/11/2019

Sirector, SUDA





May kindly recall NSP- 7 & 8, regarding approval of Finance Department, Government of West Bengal for engagement of Transaction Advisors – E&Y and PwC for another 18 clusters having 63 ULBs in the same terms & condition applied in their earlier assignment.

Finance Department in NSP-9 has approved the above mentioned proposal.

Now, it is being proposed that at the outset following four clusters may be awarded to E&Y and PwC as per same Terms of Reference and Contract Fee (Rs.28,93,684/-per cluster) applied in the earlier assignment.

Cluster No	Name of the ULBs	Name of Proposed Transaction Advisor
5	Asansol MC & Durgapur MC	Ernst & Young
6	Kalyani, Gayeshpur, Kanchrapara, Garulia, Halisahar & Harianghata	PwC
7	Krishnanagar, Nabadwip and Taherpur	PwC
8	Santipur, Ranaghat, Birnagar and Cooperscamp NAA	PwC

In this connection, PwC (placed at Flag-A) and E&Y (placed at Flag-B) have given their consent to do the assignment as per same Terms of Reference and Contract Fee (Rs.28,93,684/- per cluster) applied in the earlier assignment.

Placed for kind information and direction.

(Sujay Mitra)

Chief Manager - Planning & Monitoring

Additional Minish Director

Proposal for engagement of TAS in above noted be (four) clusters my kindly be approved.

Note More

9.7.15

Dinecton

Both EY and Puch have contented to work At ls 28, 93, 684 per cluster which is the lowest hate found in the first lender. If approved, work order may be issued





to the TAS with same terms and conditions in stored accepted per agreement already executed.

Pr. Secretary

Director, SUDA

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DD(AD) Dn.S.Hitna.

Pla put up following motes of Director, super Duly approved by Principal Scenetary Sir.

CHRPH

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Sub: Proposal of M/s KPMG to provide them the opportunity of Transaction Advisor for cluster projects of solid waste management

May kindly recall NSP-5 to 10, where Finance Department, Government of West Bengal has given approval of awarding Ernst & Young and PwC as transaction advisor (please refer to NSPfor the upcoming cluster projects on solid waste management (please refer to NSP-8).

In the meanwhile, Director, KPMG Advisory Services Private Limited [already empanelled Transaction Advisor as per Finance Department Notification No. 3738-F(Y) dated 11.06.2018 (Panel B-Urban/Municipal Infrastructure)] requested the Principal Secretary, UD & MA Department, GoWB to provide them the opportunity of Transaction Advisor for some of the upcoming cluster projects of solid waste management at the same terms & condition by matching the same L-1 rate for which the other cluster projects have been awarded to Ernst & Young and PwC. Copy of the letter is placed in the file at Flag-A as ready reference.

Now, because of the fact that as per direction of the Hon'ble NGT all the 125 ULBs have to comply all the components of SWM Rules, 2016 within April 2020, so engagement of more number of transaction advisors will expedite the process of preparation of action plan.

Hence it is being proposed that under the same terms & condition and as per the same L-1 rate M/s KPMG, an empanelled TA of Finance Department in the field of Urban/Municipal Infrastructure, may be allowed to award some clusters for this assignment.

Placed for kind approval.

Deputy Director

Director

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Proposal Above may be recommended to be placed before Pr. Secretary for Onward Rubmission to FD Considering the time bound compliances of the order of NGT dt 2.4.2019 (0, A. 606/2018) with regard to solid waste management in model obbido cities within next Six months of from the dt of issue of the order and other cities by April 2020.

U.O No. SUDA. 3.71..... Dated 17.07.2019 File No. 297/2018 (Pt-1)

sof nhlis

File No: SUDA-227/2018(Pt-I)

File Name: Engagement of Transaction Advisor for SWM projects

Notes Prepage.

The approval of F.D. vide U.O. No. Group R/2019-2020/0035 dated 040.07.2019 for engagement of Transaction Adviser (TA) for SWM projects for 63 ULBs in addition to 18 ULBs, may please be referred to (n.s.p.9).

KPMG, the enlisted TA under G.O. No. 3738-F(Y) dated 11.06.2018 (Panel B) participated in the RFP of SUDA but was not selected for higher rate offered. Now, they have requested to include their name in the SWM project as TA at the same terms 7 Conditions at the L1 rate fixed for selected TAs(Earnst & Youngs and PWC).

In view of the compliance of NGT directives and as for smooth execution of SWM as stated by Director SUDA at n.s.p.12, we may opine to refer the file to the Finance Department Group-T through Group-R, for inclusion of KPMG in the list of Selected TAs of SUDA under SWM project at the L1 rate of Rs.28,93,684/- per cluster

Kishore Sengupta
Financial Advisor
U.D & M.A. Department

Principal Secretary U.D & M.A. Department

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Workflow Based File Tracking System Government of West Bengal Finance - Group T

File No: SUDA-227/2018/(Pt-I)

Subject: Engagement of Transaction Advisor for SWM Project

Department: Urban Development

We may agree to the proposal of the Admin department regarding inclusion of KPMG from FD panel of TAs for awarding the cluster projects of solid waste management at the same L1 rate discovered in earlier tender provided that the Admin Department is satisfied with the reasonability of rate.

UO NO: Group T/2019-2020/0363

UO Date: 29/07/2019

Sd/-

Finance Minister

26/07/2019

u of MA Dept.

Section Officer, Group-T, Finance Department

Sirector, SWAA

for further action pl.

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Pl. do redful.

31-07-19 .

Dn. S. Mitah



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Sub: Engagement of KPMG as Transaction Advisor for SWM Cluster study for three Clusters

May kindly recall NSP-14 regarding approval of Finance Department, Government of West Bengal for inclusion of KPMG from Finance Department panel of Transaction Advisors for awarding cluster projects of solid waste management at the same L1 rate (Rs. 28,93,684/-) discovered in earlier tender provided that the Administrative Department is satisfied with the reasonability of rate.

UD&MA Department has approved to provide Asansol MC & Durgapur MC cluster to Ernst & Young (may kindly refer NSP-10 & 11). But it is observed that due to the 1st phase assignment of 3 clusters to them they are overburdened.

Hence, it is being proposed that the Asansol MC and Durgapur MC cluster may be assigned to KPMG in place of Ernst & Young.

Placed for kind approval.

In this connection, it is to be mentioned that a discussion was held on 31.07.2019 with Sri Sumouleendra Ghosh, Director, Infrastructure, Government & Healthcare, KPMG Advisory Services Pvt. Ltd. regarding Asansol MC and Durgapur MC Cluster.

After discussion, Sri Ghosh vide his email dated 31.07.2019 (placed at Flag-A) informed that they are currently working as the Transaction Advisor to NMCG for wastewater / STP projects in Durgapur and Asansol cluster, in this regard visited these cities and have developed in-depth understanding of local context, demography and geography of these cities. He has expressed their interest to take up the assignment of Asansol and Durgapur as separate cluster due to following reasons:

- Asansol and Durgapur are separate municipal corporations with significantly having long distance, large population base (1152443 and 563570 respectively) with large no. of wards (106 and 43 respectively). In fact Asansol Municipal Corporation, post amalgamation of Kulti, Raniganj and Jamuria municipalities with it, having long distance with each other.
- In all likelihood these cities will need to have separate SLF sites and will be treated as separate projects with separate Feasibility reports, RFPs and bid process management.

As the observation of KPMG is justified, it is being proposed that these two cities may be treated as separate cluster as detailed below and may be awarded to KPMG as separate cluster assignment alongwith one more cluster from the approved list of NSP-8 as detailed below:

- 1. Asansol Municipal Corporation
- 2. Durgapur Municipal Corporation alongwith the surrounding village areas under the jurisdiction of Asansol-Durgapur Development Authority (ADDA)
- 3. Panihati, Titagarh, Khardah, Barrackpore, North Barrackpore and Garulia

Placed for kind approval.

Chief Manager-Planning & Monitoring

Additional State Mission Director, MNB (U)

Proposal above my kindly be approved.

Sirector, SUDA

- 16-NOTE SHEET



Sub: Engagement of KPMG and PWC as Transaction Advisor for SWM study of ULBs/ clusters.

May kindly recall N.S.P. 9 and 14, where Finance Department, Government of West Bengal has give concurrence of engaging KPMG, Ernst & Young and PWC as Transaction Advisor on the same terms and conditions at a negotiated rate not exceeding the L-1 (Rs.28,93,684/-) rate from the 1st tender.

Now it is being proposed that from the approval of the Principal Secretary, UD&MA Department placed at NSP-8 following ULBs/ Clusters may be awarded to KPMG and PWC for assisting the State Government for planning of Scientific Solid Waste Management and bid process management for selection of developers and operators

Cluster/ Assignme nt No.	Proposed ULBs/ Cluster	May be allocated to the Transaction Advisor
5	Asansol Municipal Corporation	KMPG Advisory Services Pvt. Ltd.
6	Durgapur Municipal Corporation	
7	Panihati, Titagarh, Khardah, Barrackpore, North Barrackpore and Garulia Municipalities	
8	Kalyani, Gayeshpur, Halisahar, Haringhata and Kanchrapara Municipalities	Pricewaterhouse
9	Ranaghat Municipality, Birnagar Municipality, Taherpur NAA and Coopars Camp NAA,	Coopers (PwC) Pvt. Ltd.
10	Krishnagar, Nabadwip and Santipur Municipalities	

Placed for kind approval.

If approved, a draft work order alongwith ToR of KPMG (Flag-A & A1) & PwC (Flag-B & B1) are placed in the file for kind approval.

(Sujay Mitra)

Just 7.8.19

Chief Manager - Planning & Monitoring

Additional State Mission Director, MNB (U)

May kindly be approved and draft work order may be signed. This is a chayed I nevised arrayenest as per approval in NSP 15.

sept 13/8/19

A. Diplector